



Canadian Lawyers Liability Assurance Society

2018/2019 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP

2. Address of principal office: 2100 - 40 King Street West
Toronto, ON M5H 3C2

Phone: (416) 869-5300 Fax: (416) 360-8877

3. Address, phone and fax numbers of other office(s):
Please see attached

4. Management or service companies, date(s) established and services provided:
Please see attached

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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-
6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2018:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	29.9	%	29.5	%
b) Criminal Law	0	%	0	%
c) Family Law	0	%	0	%
d) Intellectual Property	4.6	%	4.6	%
e) Labour Law	1.8	%	1.7	%
f) Litigation	12.9	%	12.5	%
g) Real Estate	8.1	%	7.5	%
h) Securities Law	15.0	%	17.8	%
i) Tax Matters	2.1	%	1.6	%
j) Wills, Estates, Trust	0.5	%	0.5	%
k) Other (please specify)	25.2	%	24.4	%
Financial Services				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Gordon Peter Goodman
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

April 5, 2018

Question 3: Address, phone and fax numbers of other office(s):

Location	Address	Phone number	Fax number
Vancouver, BC, Canada	Suite 2200, HSBC Building 855 West Georgia Street Vancouver, BC V6C 3E8	604-691-6100	604-691-6120
Calgary, AB, Canada	Suite 1250, Millennium Tower 440-2nd Avenue SW, Calgary, AB T2P 5E9	403-351-2920	403-648-1151

Question 4: Management or service companies, dates(s) established and services provided:

Name	Date Established	Services Provided
Scougall Services Limited Partnership	1998	Administrative and Staffing Services
CBB Services (BC) Limited	2012	Attorney for Service (Corporate Records)
Scougall Management (1987) Limited	1987	Agency services for Toronto and Vancouver office rent, leasehold improvements and related financing.

APPENDIX A
PREDECESSOR FIRMS

Name of Firm: Cassels Brock & Blackwell LLP

Cassels Brock & Blackwell

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

CURRENT APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Cassels Brock & Blackwell LLP

	CANADA					OUTSIDE OF CANADA ⁵	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.S.	Other Locations
a) No. of Lawyers ¹	18	5	135				
b) No. of Patent & Trademark Agents ²	0	0	0				
c) No. of Non-lawyer Consultants ³	1	0	0				
d) No. of Paralegals	11	1	26				
e) No. of Other Employees	21	11	217				
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ⁴	8	9	44				

¹ Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

² These are not lawyers.

³ Please complete Appendix C if individuals are reported under this category.

⁴ Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

⁵ Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018
(Excluding Patent & Trademark Agents)

Name of Firm: Cassels Brock & Blackwell LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
Strategic Business Advice	1	BC	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0.00%
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	_____	Type of Exposure:	_____
Insurance Carrier:	_____	Insurance Carrier:	_____
Policy Number:	_____	Policy Number:	_____
Period of Insurance:	_____	Period of Insurance:	_____
Retroactive Date:	_____	Retroactive Date:	_____
Limits:	\$ _____ per claim, \$ _____ aggregate	Limits:	\$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Comments
198	06018	BUTTERY	MARY L.A.	PARTNER	1/1/1997	VAN	Financial Services	34599R (ON); 508081 (BC)			1	
199	05599	CHAMBERS	GORDON R.	PARTNER	1/1/1987	VAN	Business Law	ON: N/A; BC: 8606			1	
200	05842	CHANDLER	JONATHAN	ASSOCIATE	9/1/2017	VAN	Business Law	512943			1	
201	05733	CHRISTIAN	JOHN T.C.	PARTNER	1/1/1992	VAN	Business Law	ON: N/A; BC: 103142			1	
202	05785	CLARK	JENNA	ASSOCIATE	9/1/2016	VAN	Financial Services	512102			1	
203	05862	COLE	SAM	PARTNER	10/1/2011	VAN	Business Law	509998			1	
204	05859	DURNO	JEFF	PARTNER	1/1/2000	VAN	Business Law	34250P,501151			1	
205	06085	ENNS	JARED	ASSOCIATE	9/1/2016	VAN	Financial Services	513968 (BC), 2012062 (MB)			1	
206	05624	GILL	DEEPAK	PARTNER	1/1/2006	VAN	Business Law	ON: N/A; BC: 506402			1	
207	05241	HANSEN	JEN	PARTNER	1/1/2010	VAN	Business Law	ON: S8591P; BC: 510785			1	
208	06066	HANSFORD	DAVID	ASSOCIATE	3/1/2013	VAN	Business Law	17398 (AB)			1	
209	05786	HOEKSTRA	AREND	ASSOCIATE	9/1/2016	VAN	Business Law	512093			1	
	05949	ISAAC	THOMAS	PARTNER	1/1/2001	VAN	Business Law	BC:505263, AB: 14715, YK: 1087, NW: 1741; NU:2003023				
210											1	
211	06070	LEWIS	JESSICA L.	ASSOCIATE	9/1/2016	VAN	Advocacy	512122			1	
	05884	NIED	MATTHEW	ASSOCIATE	3/1/2013	VAN	Financial Services	509191				
212								LSA:20058			1	
213	05851	O'DONNELL	AIMEE	ASSOCIATE	9/1/2017	VAN	Business Law	512944			1	
214	05765	PODOWSKI	DARRELL	PARTNER	1/1/1998	VAN	Business Law	500305			1	
	05710	POIRIER	JENNIFER	ASSOCIATE	1/1/2011	VAN	Business Law	ON: N/A; BC: 508605			1	
215											1	
216	05641	PREST	SAMANTHA	ASSOCIATE	9/1/2014	VAN	Business Law	511365			1	
217	05809	REDFORD	DAVID	PARTNER	1/1/1998	VAN	Business Law	502761			1	
218	06069	RICHDALE	BRIGGETA C.	PARTNER	1/1/2010	VAN	Advocacy	BC:508639			1	
	00784	TRAUB	JENNIFER	PARTNER	1/1/2000	VAN	Business Law	ON: 43377H; BC: 510769			1	
219												
	06017	WILLIAMS	H. LANCE	PARTNER	1/1/2007	VAN	Financial Services	14848(AB) ,4569(SK),50887			1	
220												
Less: Lawyers on LTD									13	183	24	220
		GRIEVE	DEBORAH S.	PARTNER	1/1/1986	TOR	Financial Services	262026		-1		LTD
		HALL	TERENCE	COUNSEL	1/1/1988	TOR	Financial Services	28484V		-1		LTD
		MCGOWAN	JOHN P.	PARTNER	1/1/1986	TOR	Advocacy	26754K		-1		LTD
		PAGE	S. JOHN	PARTNER	1/1/1980	TOR	Advocacy	19650Q		-1		LTD
Less: Departed Lawyers												
		MCGURRAN	SEAN	ASSOCIATE		TOR	Financial Services	62587H		-1		Departure: Mar 29, 2018
Add: New Hires												
		Engle-Hardy	Noelle	ASSOCIATE		TOR	Business Law	ON: 63639H		1		19-Mar-18
		Lahaie	Emilie	ASSOCIATE		VAN	Business Law	ON: 68795J			1	3-Apr-18
		Dilts	Andrew	ASSOCIATE		VAN					1	12-Apr-18
		Rydl	Lindsay	ASSOCIATE		CAL		AL: 19024				Contract (Apr 3-Oct 3)
Less: Professional Corporations									1			
									-9	-44	-8	
									5	135	18	158

Total Lawyers per above:				220
	VAN	CAL	TOR	Total
Lawyers per above:	24	13	183	220
Add: New Hires	2	1	1	4
Less: Lawyers on LTD			-4	-4
Less: Departed Lawyer			-1	-1
Less: PPCs	-8	-9	-44	-61
Total non-PPC Lawyers:	18	5	135	158

List of Active Lawyers by City

As at March 13, 2018

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Comments
1	05981	BLYTH	STUART	PARTNER	1/1/1983	CAL	Business Law	6236	1			
2	05941	CHOW	JACQUELINE	ASSOCIATE	1/1/2013	CAL	Business Law	17630	1			
3	05936	FOUNTAIN	SHERRI	PARTNER	1/1/1990	CAL	Business Law	8839	1			
4	05969	HOCHER	JOSEF W.	PARTNER	1/1/1995	CAL	Business Law	10027	1			
5	05990	HOLOWACHUK	JASON M.	PARTNER	1/1/2000	CAL	Advocacy	11743	1			
	04542	LIVINGSTONE	LAURIE	COUNSEL	9/1/2002	CAL	Advocacy	46934Q; LSA:15926	1			
6												
7	05991	LOW	EVAN D.	PARTNER	1/1/2002	CAL	Business Law	12348	1			
8	05933	MARECHAL	DANIELLE	ASSOCIATE	3/1/2014	CAL	Financial Services	18183	1			
9	05932	OLIVER	JEFFREY	PARTNER	1/1/2011	CAL	Financial Services	16822	1			
	05970	REIN	KENTON	PARTNER	1/1/1998	CAL	Financial Services	47515G; LSA:10898	1			
10												
11	06032	RICHARDSON	DOUG	PARTNER	1/1/2000	CAL	Business Law	LSA: 7971	1			
12	06003	SOHI	NAMRITA K.	ASSOCIATE	1/1/2013	CAL	Business Law	17465 (LSA)	1			
13	05935	TEMPLETON	KEITH	PARTNER	1/1/1988	CAL	Business Law	7848	1			
14	00259	ABRAMSON	LANNING J.	PARTNER	1/1/1977	TOR	Financial Services	16589I		1		
15	05086	ABUDULAI	SUHUYINI	PARTNER	1/1/2009	TOR	Financial Services	57316G		1		
16	04515	ACCURSI	CHAD	PARTNER	1/1/2001	TOR	Business Law	44483S		1		
17	05559	ALTER	MATTHEW	PARTNER	1/1/1987	TOR	Advocacy	26798Q		1		
18	05666	ALVARO	MICHAEL A.	ASSOCIATE	6/23/2015	TOR	Business Law	67655J		1		
19	04757	ARBUCK	JASON	PARTNER	1/1/1999	TOR	Financial Services	42178M		1		
	04536	BELLISSIMO	JOSEPH J.	PARTNER	9/1/2002	TOR	Financial Services	46555R; LSA:20211		1		
20												
21	04537	BELLISSIMO	EVA	PARTNER	9/1/2002	TOR	Business Law	47066W		1		
22	00264	BENNETT	MARK T.	PARTNER	1/1/1995	TOR	Business Law	36620P		1		
	05611	BERMAN	WENDY	PARTNER	1/1/1992	TOR	Advocacy	ON: 32748J; BC: 512569			1	
23												
24	00302	BIRCH	JOHN N.	PARTNER	1/1/1997	TOR	Advocacy	38968U		1		
25	04974	BLACKBURN	JED	PARTNER	1/1/2008	TOR	Advocacy	55767C		1		
26	05773	BLUMBERG	ROBYN	ASSOCIATE	9/1/2016	TOR	Advocacy	70230L		1		
27	04531	BOIVIN	ANDRE	PARTNER	1/1/1999	TOR	Business Law	41824A		1		
28	00745	BULLEN	JASON K.S.	PARTNER	1/1/1999	TOR	Business Law	42203S		1		
29	00119	BURDEN	WILLIAM J.	COUNSEL	1/1/1976	TOR	Advocacy	15550F		1		
30	05668	BYERS	KATE	ASSOCIATE	6/23/2015	TOR	Advocacy	67695I		1		
31	05073	CAMPBELL	JENNIFER	PARTNER	1/1/2000	TOR	Business Law	42811G		1		
32	05694	CHEN	XI	ASSOCIATE	9/1/2016	TOR	Business Law	69939U		1		
33	04649	CHISICK	CASEY M.	PARTNER	1/1/1999	TOR	Business Law	46572R		1		
34	05577	CHIU	BELINDA	ASSOCIATE	6/23/2015	TOR	Business Law	67704K		1		
35	04968	CHOI	NANCY	PARTNER	1/1/2005	TOR	Business Law	50708C		1		
36	04551	CHUMMAR	NOBLE	PARTNER	2/1/2002	TOR	Business Law	45616Q		1		
37	05848	CIARLARIELLO	MARCO	ASSOCIATE	9/1/2017	TOR	Business Law	72837O		1		
38	06034	CIPOLLONE	DANIEL P.	ASSOCIATE	1/1/2015	TOR	Financial Services	68041K		1		
39	04924	CLEMENTS	LINDSAY	PARTNER	2/1/2002	TOR	Business Law	45362J		1		
40	05998	COGIN	MARISA	ASSOCIATE	9/1/2014	TOR	Financial Services	65838N		1		
	00236	COHEN	ROBERT B.	PARTNER	1/1/1991	TOR	Advocacy	ON: 32187D; BC: 510806			1	
41												
42	05468	COHEN	CARLY	ASSOCIATE	9/1/2013	TOR	Advocacy	64355G		1		
43	06092	CONSTANTINE	MARIA	ASSOCIATE	1/1/2014	TOR	Advocacy	65457W		1		
44	05506	CRADDOCK	BRIN	COUNSEL	1/1/2009	TOR	Financial Services	62828I		1		
45	00258	CRAIG	JOHN H.	COUNSEL	1/1/1973	TOR	Business Law	13475H		1		
46	05649	DELUCA	FRANK	PARTNER	1/1/1998	TOR	Business Law	40416E		1		
47	05762	DIETRICH	JANE	PARTNER	1/1/2004	TOR	Financial Services	49302U		1		
48	05844	DIPARDO	DANIELLE	ASSOCIATE	9/1/2017	TOR	Advocacy	72188E		1		
49	00284	DOMINIQUE	BRIAN P.	PARTNER	1/1/1989	TOR	Business Law	29839C		1		
50	05448	ELLIS	LARRY	PARTNER	1/1/2004	TOR	Financial Services	49313K		1		
51	05671	FENDER	HILARY	ASSOCIATE	6/26/2015	TOR	Financial Services	68406U		1		
52	00783	FITZGERALD	ANDREA	PARTNER	1/1/2000	TOR	Business Law	42973V		1		
53	04999	FLEISHER	JONATHAN	PARTNER	1/1/1989	TOR	Financial Services	29476H		1		
54	05945	FLETCHER	JORDAN R.	ASSOCIATE	1/1/2010	TOR	Advocacy	59295R		1		
55	05288	FRANKEL	TED	PARTNER	1/1/2004	TOR	Advocacy	49784Q		1		
56	05509	FREEMAN	JONATHAN	PARTNER	1/1/2005	TOR	Financial Services	51289L		1		
57	05239	GARDOS	DAVID	ASSOCIATE	1/1/2010	TOR	Business Law	58577D		1		
58	05965	GEARY	NICOLA	PARTNER	1/1/2004	TOR	Business Law	48930I		1		
59	05912	GIBSON	CHRISTIE E.	ASSOCIATE	1/1/2014	TOR	Advocacy	65514C		1		
	04339	GLATTER	DEBORAH	ADMINISTR ATION		TOR	PE - Advancement Career Development	22032K				
60											1	
61	00283	GLICKMAN	LEONARD	PARTNER	1/1/1985	TOR	Business Law	25356I		1		
62	05775	GOLD	MATTHEW	ASSOCIATE	9/1/2016	TOR	Business Law	69982S		1		
63	05071	GOLDMAN	JAY	PARTNER	1/1/1990	TOR	Business Law	30670L		1		
64	05776	GOODIS	BENJAMIN	ASSOCIATE	9/1/2016	TOR	Financial Services	70303H		1		

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Comments
65	00216	GOODMAN	GORDON P.	PARTNER	1/1/1991	TOR	Financial Services	32266J		1		
66	00213	GOTLIEB	MAXWELL	PARTNER	1/1/1977	TOR	Business Law	16808H		1		
	04641	GRAY	TILLY	ADMINISTR	1/1/2004	TOR	PE - Practice	49559L				
67				ATION			Support			1		
68	05339	GRIEVE	DEBORAH S.	PARTNER	1/1/1986	TOR	Financial Services	26202G		1		LTD
69	05846	GROENEWALD	ROWAN	ASSOCIATE	9/1/2017	TOR	Business Law	72890G		1		
70	05777	GROSSMAN	LAUREN	ASSOCIATE	9/1/2016	TOR	Financial Services	70597J		1		
71	05027	GROUND	COLIN	PARTNER	1/1/2001	TOR	Business Law	44563U		1		
72	04781	HALL	TERENCE	PARTNER	1/1/1983	TOR	Financial Services	23072U		1		LTD
73	00291	HAMILTON	ARTHUR L.	PARTNER	1/1/1997	TOR	Advocacy	39474W		1		
74	05148	HARDY	SHANE	PARTNER	2/1/2002	TOR	Business Law	45423T		1		
75	05727	HAYMAN	ALISON	PARTNER	1/1/2003	TOR	Business Law	48716Q		1		
76	05564	HENDERSON	STEPHEN	ASSOCIATE	9/1/2014	TOR	Business Law	65534N		1		
77	04642	HENEIN	PETER	PARTNER	1/1/2004	TOR	Advocacy	49330K		1		
78	04938	HERSH	CHRIS	PARTNER	1/1/2000	TOR	Business Law	43080N		1		
79	05977	HINMAN	PAMELA J.	ASSOCIATE	1/1/2015	TOR	Advocacy	68438R		1		
80	05082	HOGAN	GREG	PARTNER	1/1/1999	TOR	Business Law	42258O		1		
81	06080	HOJILLA	PAMELA	ASSOCIATE	1/1/2012	TOR	Business Law	61877S (ON)		1		
82	05234	HOLLAND	STEFANIE	PARTNER	1/1/2010	TOR	Advocacy	58204S		1		
	05380	HORKINS	CHRISTOPHER	ASSOCIATE	1/1/2012	TOR	Advocacy	61880R				
83										1		
84	05857	HYLTON	MARLON	PARTNER	5/7/2010	TOR	Advocacy	58608I		1		
85	00917	ILIPOULOS	ALEXANDRA	PARTNER	1/1/1998	TOR	Business Law	40901D		1		
	05612	JACKSON	LARA	PARTNER	1/1/1999	TOR	Advocacy	41858M;				
86								LSBC:512546		1		
87	05761	JACOBS	RYAN	PARTNER	1/1/2011	TOR	Financial Services	59510J		1		
88	06100	JAKIBCHUK	ADRIAN	PARTNER	1/1/2006	TOR	Advocacy	ON: 52864R		1		
89	05971	JASON	JOHN	COUNSEL	1/1/1988	TOR	Financial Services	28484V		1		
90	05280	JESSOME	LAURIE	PARTNER	1/1/2003	TOR	Advocacy	48080W		1		
91	05521	JIMENO	NATASHA	PARTNER	1/1/2011	TOR	Financial Services	60137J		1		
92	04720	KAPSALES	TOM	PARTNER	1/1/1998	TOR	Business Law	40502Q		1		
93	04533	KARN	BERNICE	PARTNER	1/1/1986	TOR	Business Law	25846K		1		
94	05695	KELMAN	DAVID	ASSOCIATE	9/1/2016	TOR	Advocacy	70336A		1		
95	05595	KENNEDY	STEVEN	COUNSEL	1/1/2009	TOR	Business Law	56576i		1		
96	05847	KERZNER	STEPHANIE	ASSOCIATE	9/1/2017	TOR	Advocacy	73238M		1		
97	05604	KHULLAR	SHAUN	PARTNER	1/1/2009	TOR	Business Law	57154K		1		
98	04643	KING	JAY	PARTNER	1/1/2004	TOR	Business Law	49821I		1		
99	00072	KLIGMAN	ROBERT D.	PARTNER	1/1/1980	TOR	Advocacy	19947K		1		
100	04591	KNOL	LINDA	PARTNER	1/1/2003	TOR	Advocacy	47837C		1		
	05759	KUKULOWICZ	SHAYNE	PARTNER	1/1/1990	TOR	Financial Services	30729S;				
101								LSA:19716		1		
102	05952	LAI	KRISTEN	ASSOCIATE	1/1/2015	TOR	Advocacy	68146D		1		
103	04698	LAPALME	LAURIE	PARTNER	1/1/2000	TOR	Financial Services	43511B		1		
104	04557	LEISK	SIGNE	PARTNER	2/1/2002	TOR	Advocacy	45455Q		1		
	05886	LEO	SHANNON	ADMINISTR	1/1/2004	TOR	PE - Advancement	49595D				
				ATION			Career Development					
105										1		
106	05778	LESZCZ	NOAH	ASSOCIATE	9/1/2016	TOR	Business Law	70041V		1		
107	05512	LEUNG LIM	ADRIA	ASSOCIATE	1/1/2010	TOR	Business Law	58345D		1		
108	05763	LEVINE	NATALIE	PARTNER	1/1/2013	TOR	Financial Services	64908K		1		
109	05957	LI	TERA	ASSOCIATE	9/1/2013	TOR	Business Law	63748S		1		
110	05674	LIPTON	JESSICA	ASSOCIATE	6/24/2015	TOR	Business Law	68163D		1		
111	05527	LITCHEN	JAMIE	ASSOCIATE	9/1/2013	TOR	Business Law	64915Q		1		
112	05094	LUNGU	MICHAEL	PARTNER	1/1/2009	TOR	Business Law	57488P		1		
113	05779	LYLE	JAMES	ASSOCIATE	9/1/2016	TOR	Business Law	70051P		1		
114	05084	LYSY	ROBERT	PARTNER	2/1/2002	TOR	Business Law	45862F		1		
115	05780	MAHONEY	MICHAEL	ASSOCIATE	9/1/2016	TOR	Advocacy	70968G		1		
116	05078	MANIACI	SEAN	PARTNER	1/1/2001	TOR	Business Law	44616F		1		
117	00211	MANZER	ALISON R.	PARTNER	1/1/1979	TOR	Financial Services	18514A		1		
118	05385	MARTIN	JEREMY	ASSOCIATE	1/1/2012	TOR	Advocacy	61610K		1		
119	05849	MASSIE	SAMUEL	ASSOCIATE	9/1/2017	TOR	Financial Services	72958L		1		
120	05244	MAYZEL	ERIC	PARTNER	1/1/2010	TOR	Advocacy	58663P		1		
121	00181	MCGOWAN	JOHN P.	PARTNER	1/1/1986	TOR	Advocacy	26754K		1		LTD
	05885	MCGURRAN	SEAN	ASSOCIATE	6/1/2012	TOR	Financial Services	62587H				Departed Mar 29, 2018
122										1		
123	00255	MERCER	CATHY L.	PARTNER	1/1/1986	TOR	Business Law	25728B		1		
124	04736	MERCIER	MARC	PARTNER	1/1/1993	TOR	Financial Services	35009R		1		
125	05788	METALLO	AMANDA	ASSOCIATE	9/1/2017	TOR	Business Law	73282G		1		
126	05529	MIKELBERG	JEFFREY	ASSOCIATE	9/1/2013	TOR	Business Law	63778A		1		
127	04506	MINGAY	CAMERON A.	PARTNER	1/1/1982	TOR	Business Law	21789J		1		
128	05461	MOHAJER	ARDY	ASSOCIATE	9/1/2013	TOR	Financial Services	64160R		1		
129	05850	MOHER	SOPHIE	ASSOCIATE	9/1/2017	TOR	Financial Services	72317H		1		
130	05923	MORAND	JAMES	PARTNER	1/1/1989	TOR	Business Law	29609R		1		
131	04613	MUCHNIK	PAUL	PARTNER	1/1/1988	TOR	Financial Services	28583P		1		
132	05781	MURPHY	ALEXANDRA	ASSOCIATE	9/1/2016	TOR	Advocacy	71003C		1		

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Comments
133	04737	NEWMAN	CHARLES	PARTNER	2/1/1997	TOR	Financial Services	39215F		1		
	05918	NICHOLAS	A. CHANDIMAL	ASSOCIATE	1/1/2006	TOR	Business Law	52626H				
134										1		
	00087	NORTON	CHRISTOPHER B.	PARTNER	1/1/1983	TOR	Business Law	22845E				
135										1		
136	05531	OMOKHODION	PATIENCE	PARTNER	1/1/2014	TOR	Financial Services	65231J		1		
	06102	OSBORNE	W. MICHAEL G.	PARTNER	1/1/1998	TOR	Advocacy	40976K				
137										1		
138	00079	PAGE	S. JOHN	PARTNER	1/1/1980	TOR	Advocacy	19650Q		1		LTD
139	05305	PENDRITH	COLIN	PARTNER	9/1/2011	TOR	Advocacy	59912H		1		
140	00221	PETERSON	DAVID R.	COUNSEL	1/1/1969	TOR	Special & Retired	11660O		1		
141	06002	PHILLIPS	JACKSON	ASSOCIATE	9/1/2014	TOR	Business Law	66430E		1		
142	05976	PICONE	JOHN M.	ASSOCIATE	1/1/2010	TOR	Advocacy	58406N		1		
143	05746	PILKINGTON	ADRIANNA	PARTNER	1/1/2010	TOR	Advocacy	59037T		1		
	00053	PINOS	J.D. TIMOTHY	PARTNER	1/1/1980	TOR	Advocacy	ON: 20027U; BC:				
144								51083Z		1		
145	05133	PIZALE	ALEXANDER	PARTNER	1/1/2007	TOR	Business Law	53817A		1		
146	00064	PLENER	SHELDON	PARTNER	1/1/1978	TOR	Business Law	17904B		1		
147	05789	PODOLSKY	CHAD	ASSOCIATE	9/1/2017	TOR	Business Law	73313L		1		
148	05465	POTTER	CARLA	ASSOCIATE	9/1/2013	TOR	Financial Services	64743D		1		
149	06053	RAMGOOLAM	ROSS R.	ASSOCIATE	1/1/2012	TOR	Financial Services	61984O		1		
150	06031	RASILE	MARK	PARTNER	1/1/2000	TOR	Financial Services	43557W		1		
151	00309	REBACK	ANDREW	PARTNER	1/1/1994	TOR	Business Law	35302P		1		
152	00197	REDMOND	DAVID A.	PARTNER	1/1/1987	TOR	Financial Services	27474Q		1		
153	00162	REEVE	J. BRIAN	PARTNER	1/1/1982	TOR	Financial Services	21828Q		1		
154	06020	RICH	CHUCK	PARTNER	1/1/2000	TOR	Financial Services	42930L		1		
155	05696	RICHARDS	JACQUELINE	ASSOCIATE	9/1/2016	TOR	Business Law	70728E		1		
156	06036	ROBERTS	STEPHANIE	ASSOCIATE	1/1/2013	TOR	Business Law	64583W		1		
157	04983	ROBINSON	FRANK	PARTNER	1/1/2008	TOR	Business Law	55957L		1		
158	05735	ROBINSON	TODD	PARTNER	1/1/2008	TOR	Advocacy	55958H		1		
159	05447	RONDE	DEREK	PARTNER	1/1/2002	TOR	Advocacy	46978W		1		
	04507	ROY	JEFFREY P.	PARTNER	1/1/1986	TOR	Business Law	25675Q;				
160								LSA:5401		1		
161	05466	RUSSELL	CAITLIN	ASSOCIATE	9/1/2013	TOR	Advocacy	63847M		1		
162	05691	SALEM	ANDREW	PARTNER	1/1/1998	TOR	Business Law	41014R		1		
163	05471	SASSI	MONIQUE	ASSOCIATE	9/1/2013	TOR	Financial Services	63638L		1		
164	05852	SCOLLIERI	AMANDA	ASSOCIATE	9/1/2017	TOR	Financial Services	73338C		1		
165	05783	SEBANC	STEFANIE	ASSOCIATE	9/1/2016	TOR	Business Law	71053S		1		
	05486	SELBY	CHRISTOPHER	ASSOCIATE	9/1/2014	TOR	Advocacy	65702T				
166										1		
167	04605	SELZNICK	STEPHEN	PARTNER	1/1/1979	TOR	Business Law	18593C		1		
168	00130	SHAW	GEOFFREY B.	PARTNER	1/1/1986	TOR	Advocacy	26367J		1		
169	05676	SHERMAN	JONATHAN	ASSOCIATE	9/8/2015	TOR	Business Law	68574W		1		
170	00095	SILVER	LORNE S.	PARTNER	1/1/1984	TOR	Advocacy	24238L		1		
171	05571	SIMPSON	KYLE	ASSOCIATE	9/1/2014	TOR	Business Law	65384D		1		
172	05080	SNIDER	KENNETH	PARTNER	1/1/1983	TOR	Business Law	22897M		1		
173	05530	SOMANI	ALYKHAN	ASSOCIATE	9/1/2013	TOR	Business Law	64239M		1		
174	05758	ST. CYR	MARK	ASSOCIATE	1/1/2012	TOR	Advocacy	62390D		1		
175	00257	STEIN	PAUL M.	PARTNER	1/1/1983	TOR	Business Law	23256T		1		
176	00321	STEINHART	GARY	PARTNER	1/1/1981	TOR	Business Law	21142G		1		
177	05536	SULLIVAN	PETER	PARTNER	1/1/2005	TOR	Financial Services	61177T		1		
178	05784	TABI	KWAKU	ASSOCIATE	9/1/2016	TOR	Financial Services	70468K		1		
179	06037	TALLIM	MIKE R.	ASSOCIATE	1/1/2015	TOR	Financial Services	18666 (LSA)		1		
180	05663	TAYLOR	KRISTIN	PARTNER	1/1/1995	TOR	Advocacy	37339O		1		
	05984	TEASDALE	STEPHANE	PARTNER	1/1/1988	TOR	Business Law	187639-2;				
181								72536F		1		
182	05626	UUKKIVI	RAIVO	PARTNER	1/1/2004	TOR	Advocacy	49932L		1		
183	05300	VALO	REBECCA	ASSOCIATE	9/1/2011	TOR	Business Law	60458J		1		
184	00265	VETTESE	JOHN P.	PARTNER	1/1/1995	TOR	Business Law	36951J		1		
185	05484	VOUDOURIS	STEPHANIE	ASSOCIATE	9/1/2014	TOR	Advocacy	65752M		1		
186	00238	WARD	DAVID S.	PARTNER	1/1/1992	TOR	Advocacy	33541W		1		
	05307	WASYLYK	JENNIFER	PARTNER	9/1/2011	TOR	Financial Services	60619J;				
								LSA:19844;				
187								LSBC:513402		1		
188	00186	WATTERWORTH	ANN L.	PARTNER	1/1/1989	TOR	Business Law	29730C		1		
	00308	WEINBERG	LAWRENCE M.	PARTNER	1/1/1989	TOR	Business Law	30156D				
189										1		
190	05854	WEIZEL	MICHAEL	ASSOCIATE	9/1/2017	TOR	Business Law	73092U		1		
191	05162	WINCH	MELISSA	ASSOCIATE	1/1/2009	TOR	Advocacy	57297K		1		
192	05855	WOOD	PATRICIA	ASSOCIATE	9/1/2017	TOR	Business Law	71850T		1		
193	05575	WOODMAN	BRYAN	ASSOCIATE	9/1/2014	TOR	Financial Services	66146E		1		
194	04795	WOOLFORD	LUKE	PARTNER	1/1/2006	TOR	Business Law	52723J		1		
195	05760	WUNDER	MICHAEL	PARTNER	1/1/1990	TOR	Financial Services	31351O		1		
196	00254	YOUNG	MARK L.	PARTNER	1/1/1980	TOR	Business Law	20135M		1		
	04524	BUDD	DAVID	PARTNER	1/1/2000	VAN	Financial Services	ON: 43027V; BC:				
197								510774			1	

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Comments
198	06018	BUTTERY	MARY L.A.	PARTNER	1/1/1997	VAN	Financial Services	34599R (ON); 503081 (BC)			1	
199	05599	CHAMBERS	GORDON R.	PARTNER	1/1/1987	VAN	Business Law	ON: N/A; BC: 8606			1	
200	05842	CHANDLER	JONATHAN	ASSOCIATE	9/1/2017	VAN	Business Law	512943			1	
201	05733	CHRISTIAN	JOHN T.C.	PARTNER	1/1/1992	VAN	Business Law	ON: N/A; BC: 103142			1	
202	05785	CLARK	JENNA	ASSOCIATE	9/1/2016	VAN	Financial Services	512102			1	
203	05862	COLE	SAM	PARTNER	10/1/2011	VAN	Business Law	509998			1	
204	05859	DURNO	JEFF	PARTNER	1/1/2000	VAN	Business Law	34250P,501151			1	
205	06035	ENNS	JARED	ASSOCIATE	9/1/2016	VAN	Financial Services	513968 (BC), 2012062 (MB)			1	
206	05624	GILL	DEEPAK	PARTNER	1/1/2006	VAN	Business Law	ON: N/A; BC: 506402			1	
207	05241	HANSEN	JEN	PARTNER	1/1/2010	VAN	Business Law	ON: 58591P; BC: 510785			1	
208	06066	HANSFORD	DAVID	ASSOCIATE	3/1/2013	VAN	Business Law	17398 (AB)			1	
209	05786	HOEKSTRA	AREND	ASSOCIATE	9/1/2016	VAN	Business Law	512093			1	
	05949	ISAAC	THOMAS	PARTNER	1/1/2001	VAN	Business Law	BC:505263, AB: 14715, YK: 1087, NW: 1741; NU:2003023				
210											1	
211	06070	LEWIS	JESSICA L.	ASSOCIATE	9/1/2016	VAN	Advocacy	512122			1	
	05884	NIED	MATTHEW	ASSOCIATE	3/1/2013	VAN	Financial Services	509191				
212								LSA:20058			1	
213	05851	O'DONNELL	AIMEE	ASSOCIATE	9/1/2017	VAN	Business Law	512944			1	
214	05765	PODOWSKI	DARRELL	PARTNER	1/1/1993	VAN	Business Law	500305			1	
	05710	POIRIER	JENNIFER	ASSOCIATE	1/1/2011	VAN	Business Law	ON: N/A; BC: 508605			1	
215											1	
216	05641	PREST	SAMANTHA	ASSOCIATE	9/1/2014	VAN	Business Law	511365			1	
217	05809	REDFORD	DAVID	PARTNER	1/1/1998	VAN	Business Law	502761			1	
218	06069	RICHDALE	BRIGEEA C.	PARTNER	1/1/2010	VAN	Advocacy	BC:508639			1	
	00784	TRAUB	JENNIFER	PARTNER	1/1/2000	VAN	Business Law	ON: 43377H; BC: 510769			1	
219												
	06017	WILLIAMS	H. LANCE	PARTNER	1/1/2007	VAN	Financial Services	14848(AB) ,4569(SK);50887			1	
220												
Less: Lawyers on LTD									13	183	24	220
		GRIEVE	DEBORAH S.	PARTNER	1/1/1986	TOR	Financial Services	26202G		-1		LTD
		HALL	TERENCE	COUNSEL	1/1/1988	TOR	Financial Services	28484V		-1		LTD
		MCGOWAN	JOHN P.	PARTNER	1/1/1986	TOR	Advocacy	26754K		-1		LTD
		PAGE	S. JOHN	PARTNER	1/1/1980	TOR	Advocacy	19650Q		-1		LTD
Less: Departed Lawyers												
		MCGURRAN	SEAN	ASSOCIATE		TOR	Financial Services	62587H				Departure: Mar 29, 2018
Add: New Hires												
		Engle-Hardy	Noelle	ASSOCIATE		TOR	Business Law	ON: 63639H		1		19-Mar-18
		Lahaie	Emilie	ASSOCIATE		VAN	Business Law	ON: 68795J			1	3-Apr-18
		Dilts	Andrew	ASSOCIATE		VAN					1	12-Apr-18
		Rydell	Lindsay	ASSOCIATE		CAL		AL: 19024				Contract (Apr 3-Oct 3)
Less: Professional Corporations									1			
									-9	-44	-8	
									5	135	18	158

Total Lawyers per above:				220
	VAN	CAL	TOR	Total
Lawyers per above:	24	13	183	220
Add: New Hires	2	1	1	
Less: Lawyers on LTD			-4	-4
Less: Departed Lawyer			-1	
Less: PPCs	-8	-9	-44	-61
Total non-PPC Lawyers:	18	5	135	158

Professional Corporations

	Name	Professional Corp?	Professional Corp Name	Type	Location	CAL	TOR	VAN
1	Blyth, Stuart	Y	Stuart F Blyth Professional Corporation	IP	CAL	1		
2	Fountain, Sherri	Y	Sherri Fountain Professional Corporation	IP	CAL	1		
3	Hocher, Josef	Y	Josef W. Hocher Professional Corporation	UP	CAL	1		
4	Holowachuk, Jason	Y	Jason Holowachuk Professional Corporation	IP	CAL	1		
5	Low, Evan	Y	Evan Low Professional Corporation	IP	CAL	1		
6	Oliver, Jeffrey	Y	Jeffrey Oliver Professional Corporation	UP	CAL	1		
7	Rein, Kenton	Y	Kenton Rein Professional Corporation	UP	CAL	1		
8	Richardson, Doug	Y	Doug Richardson Professional Corporation	IP	CAL	1		
9	Templeton, Keith	Y	Keith Templeton Professional Corporation	IP	CAL	1		
10	Abramson, Lanning	Y	Lanning J. Abramson Professional Corporation	UP	TOR		1	
11	Accursi, Chad	Y	Chad Accursi Professional Corporation	UP	TOR		1	
12	Alter, Matthew	Y	Matthew R. Alter Professional Corporation	UP	TOR		1	
13	Arbuck, Jason	Y	S. Jason Arbuck Professional Corporation	UP	TOR		1	
14	Bellissimo, Eva	Y	Eva Bellissimo Professional Corporation	UP	TOR		1	
15	Bellissimo, Joseph	Y	Joseph J. Bellissimo Professional Corporation	UP	TOR		1	
16	Bennett, Mark	Y	Mark T. Bennett Professional Corporation	UP	TOR		1	
17	Birch, John	Y	John N. Birch Professional Corporation	UP	TOR		1	
18	Burden, William	Y	William Burden Professional Corporation	IP	TOR		1	
19	Chisick, Casey	Y	Casey M. Chisick Professional Corporation	UP	TOR		1	
20	Dietrich, Jane	Y	Jane O. Dietrich Professional Corporation	UP	TOR		1	
21	Ellis, Larry	Y	Larry Ellis Professional Corporation	UP	TOR		1	
22	Fitzgerald, Andrea	Y	Andrea FitzGerald Professional Corporation	UP	TOR		1	
23	Fleisher, Jonathan	Y	Jonathan E. Fleisher Professional Corporation	UP	TOR		1	
24	Glickman, Leonard	Y	Leonard Glickman Professional Corporation	UP	TOR		1	
25	Goldman, Jason	Y	Jason I. Goldman Professional Corporation	UP	TOR		1	
26	Goodman, Gordon	Y	Gordon P. Goodman Professional Corporation	UP	TOR		1	
27	Gottlieb, Maxwell	Y	Maxwell Gottlieb Professional Corporation	UP	TOR		1	
28	Hamilton, Arthur	Y	Arthur L. Hamilton Professional Corporation	UP	TOR		1	
29	Jacobs, Ryan	Y	Ryan Jacobs Professional Corporation	UP	TOR		1	
30	Jessome, Laurie	Y	Laurie B. Jessome Professional Corporation	UP	TOR		1	
31	Karn, Bernice	Y	Bernice M. Karn Professional Corporation	UP	TOR		1	
32	Lapalme, Laurie	Y	Laurie C. LaPalme Professional Corporation	UP	TOR		1	
33	Manzer, Alison	Y	Alison R. Manzer Professional Corporation	UP	TOR		1	
34	Mercier, Marc	Y	Marc Mercier Professional Corporation	UP	TOR		1	
35	Mingay, Cameron	Y	Cameron A. Mingay Professional Corporation	UP	TOR		1	
36	Morand, James	Y	James G. Morand Professional Corporation	UP	TOR		1	
37	Plener, Sheldon	Y	Sheldon Plener Professional Corporation	UP	TOR		1	
38	Rasile, Mark	Y	Mark Rasile Professional Corporation	UP	TOR		1	
39	Redmond, David	Y	David A. Redmond Professional Corporation	UP	TOR		1	
40	Reeve, Brian	Y	J. Brian Reeve Professional Corporation	UP	TOR		1	
41	Rich, Charles	Y	Charles Rich Professional Corporation	UP	TOR		1	
42	Shaw, Geoffrey	Y	Geoffrey B. Shaw Professional Corporation	UP	TOR		1	
43	Silver, Lorne	Y	Lorne S. Silver Professional Corporation	UP	TOR		1	
44	Snider, Ken	Y	Ken Snider Professional Corporation	UP	TOR		1	
45	Stein, Paul	Y	Paul Stein Professional Corporation	UP	TOR		1	
46	Steinhart, Gary	Y	Gary Steinhart Professional Corporation	UP	TOR		1	
47	Uukkivi, Raivo	Y	Raivo Uukkivi Professional Corporation	UP	TOR		1	
48	Vettese, John	Y	John P. Vettese Professional Corporation	UP	TOR		1	
49	Ward, David	Y	David S. Ward Professional Corporation	UP	TOR		1	
50	Watterworth, Ann	Y	Ann L. Watterworth Professional Corporation	UP	TOR		1	
51	Weinberg, Lawrence	Y	Lawrence M. Weinberg Professional Corporation	UP	TOR		1	
52	Wunder, Michael	Y	Michael Wunder Professional Corporation	UP	TOR		1	
53	Young, Mark	Y	Mark I. Young Professional Corporation	UP	TOR		1	
54	Budd, David	Y	David R. Budd Law Corporation	UP	VAN			1
55	Chambers, Gordon	Y	Gordon R. Chambers Law Corporation	UP	VAN			1
56	Christian, John T.	Y	John T. Christian Law Corporation	IP	VAN			1
57	Durno, Jeff	Y	Jeff W. Durno Law Corporation	UP	VAN			1
58	Gill, Deepak	Y	Deepak S. Gill Law Corporation	UP	VAN			1
59	Podowski, Darrell W	Y	Darrell W. Podowski Law Corporation	UP	VAN			1
60	Redford, David	Y	David Redford Law Corporation	UP	VAN			1
61	Traub, Jennifer	Y	Jennifer J. Traub Law Corporation	UP	VAN			1
Total by Location						9	44	8

APPENDIX D
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Cassels Brock & Blackwell LLP

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Cassels Brock & Blackwell LLP

- The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
- The Firm has offices in Toronto, Vancouver and Calgary.
- The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
- From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
- From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
- In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
- In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
- In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
- There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW &
PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: **Cassels Brock & Blackwell LLP**

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Ryan Jacobs	ON	up to 10%
Natalie Levine	ON	up to 10%
Nicola Geary	ON	up to 5%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2017

Name of Firm: Cassels Brock & Blackwell LLP

Reviewed - NJI

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Report
Cassels, Brock & Blackwell LLP
As at December 31, 2017

Claim No	Insured	100% stockholder	Company Name	Report Date	Error Date	Claim Date	Date Code	CLLAS				Law Society				Accrual Liability	
								Payment Indemnity	Legal	Indemnity	Legal	Payment Indemnity	Legal	Indemnity	Legal		
CLLAS2017-155	Shane Hardy		Partnership 2450 Dufferin Yorkdale Limited Partnership 356-360 Huffman Street Limited Partnership Buzzers Hockey L.P. Cullman & Wakefield New Canada Limited Partnership Ercbia Canada Limited Partnership Excuse Partnership Excuse Verano Partners Ames Field 1 LP Gavin Properties Limited Partnership #1 JHD 940 Thurman LP Hotel 550 Wellington LP Hugo Crescent Limited Partnership LGW Reit Limited Partnership King Live Limited Partnership Kraus Branch LP Kraus Concord LP Kraus PRP LLC (Finest) Gateway Services Inc. Imperial Capital Limited	2017-06-13	2016-09-09			\$0	\$0	\$0	\$0	\$0	\$0	\$5,000	\$5,000		
CLLAS2017-172	Sahayni Aboukda			2017-04-21		2017-10-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-173	Mark Bennett			2017-06-06	2015-03-02			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$10,000	\$10,000
Total								\$18,000,000	\$5,880,964	\$33,971,227	\$2,873,671	\$57,725,862	\$2,885,492	\$6,680,067	\$2,071,250	\$2,610,179	\$14,289,364

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Report
Cassels, Brock & Blackwell LLP
As at December 31, 2017

Client No.	Insured	Claimant	Report Date	Event Date	Claim Date	Drg. Code	CLLAS				Law Society			
							Payment	Indemnity	Legal	Reserve	Legal	Indemnity	Reserve	Incurred Liability
CLLAS2016-108	James Rossiter	Lorne Platt	2016-03-21	2014-11-10			\$0	\$0	\$0	\$0	\$0	\$3,867	\$0	\$496,133
CLLAS2016-112	Gregory Joseph Hogan	National Bank Financial Inc.	2016-03-24	2015-01-01	2017-05-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-126	Gavin Todd Ashley Robinson	Grant Thornton as receiver Kargeder Masonry Corp	2016-04-12	2016-03-21	2016-11-07		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-136	Leonard Glickman	Civilian, Inc.	2016-04-27	2016-02-17	2017-07-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-144	Tom Kapsales	Northwest Atlantic (Canada) Inc.	2016-05-19	2012-11-07			\$0	\$0	\$0	\$0	\$0	\$17,149	\$0	\$247,851
CLLAS2016-173	Tom Kapsales	David Anderson, Karen Anderson	2016-06-13	2011-09-01	2017-02-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-036	Jay Francis King	Frontier Rare Earths Limited	2016-10-06	2016-08-18	2017-10-24		\$0	\$0	\$0	\$0	\$0	\$7,515	\$0	\$7,515
CLLAS2017-060	Robert Cohen	Moyn Canada Inc	2016-11-28	2008-01-21			\$0	\$0	\$0	\$0	\$0	\$71,741	\$30,000	\$325,663
CLLAS2017-064	Carly Mercer	Lundin Gold Inc (formerly Forman Minerals)	2016-12-08	2010-08-03			\$0	\$0	\$0	\$0	\$0	\$9,870	\$0	\$57,130
CLLAS2017-071	Sigve Blanka Letak	TDL Group Corp.	2016-12-22	2016-04-01	2017-01-11		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-096	Jennifer Campbell	Northquest Ltd	2017-01-27	2015-06-15	2017-10-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$712
CLLAS2017-106	Theodore B. Frankel	Gencorp International Ltd	2017-02-16	2016-05-01			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$30,000
CLLAS2017-109	Frank Robinson	Mark's Work Warehouse Ltd.	2017-03-09	2012-08-25			\$0	\$0	\$0	\$0	\$0	\$2,000	\$0	\$20,000
CLLAS2017-119	Arthur Hamilton	224942 Ontario Inc	2017-04-05	2017-03-02			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$10,000
CLLAS2017-120	Craig Chisick	CEDECOM	2016-12-22	2016-05-01	2017-10-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-136	Gordon Jacobs	Tobias Trust	2017-04-27				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-144	Paul Mechuk	Sorell Studios Inc, Sorell West Inc, Sorell Bram 812 Inc, Sorell Bram 101820 Inc	2017-05-15	2017-05-01	2017-08-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
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Claims No.	Insured	Claimant	Report Date	Event Date	Event Code	CLLAS			Law Society			Incurred Liability		
						Payment	Legal	Indemnity	Payment	Legal	Indemnity	Payment	Legal	Indemnity
CLLAS2014-045	Polyana Lord	Northland Resources S.A.	2013-11-18	2013-01-09		\$0	\$0	\$0	\$0	\$0	\$10,818	\$0	\$16,018	\$46,816
CLLAS2014-046	Lorne Silver	Donald Best	2013-10-10	2009-11-17	2016-06-14	NCP			\$0	\$0	\$22,218	\$0	\$0	\$22,218
CLLAS2014-075	Ruby Wong	0789213 BC Ltd. & 1346012 ON Ltd.	2014-01-21	2007-05-14		\$0	\$0	\$0	\$0	\$0	\$12,239	\$0	\$8,989	\$21,228
CLLAS2014-084	Suzie Reynard de St. Michel	CBE S.A.S.	2014-02-19	2012-05-07	2014-01-12	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-102	Lorne Silver	RNI Entertainment Inc. et al.	2013-11-22	2007-03-13	2015-09-11	SIR			\$0	\$0	\$43,850	\$0	\$0	\$43,850
CLLAS2014-125	David Redmond	Krimas Foods & Amigos	2014-05-20	2004-12-31	2015-08-13	SIR			\$0	\$0	\$8,244	\$0	\$0	\$8,244
CLLAS2014-131	Paul Stein	The Club Store Financial Services Inc (Club Store)	2014-06-02	2012-07-26		\$0	\$0	\$1,000,000	\$0	\$0	\$341,142	\$500,000	\$158,858	\$1,000,000
CLLAS2014-146	France Tenaille	Goodvana Oil Corp	2014-06-27	2013-09-16	2014-07-18	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-151	Peter Dunne	Norby American Capital Inc. et al	2014-06-30	2007-01-01		\$0	\$0	\$0	\$0	\$0	\$0	\$100,000	\$70,000	\$170,000
CLLAS2015-001	Geoff Brown	Premier Executive Suites Limited (PESL)	2014-07-07	2014-06-25	2015-01-07	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-006	Stuart English	Estates of Russ Smith and Todd Smith (Beneficiaries)	2014-07-16	2011-04-24	2015-04-22	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-011	Colin Ground	Exarum Ventures Partners Fund LLP et al	2014-07-31	2011-07-29		\$0	\$0	\$0	\$8,750	\$210,657	\$641,250	\$139,343	\$1,000,000	\$1,000,000
CLLAS2015-022	Elton Bestner	Paul Auerf and Korin Bohrer	2014-09-25	2012-10-26	2016-06-15				\$0	\$0	\$18,977	\$0	\$0	\$218,977
CLLAS2015-029	Marlin Horst	Royast Capital Inc.	2014-10-16	2012-04-19	2014-03-31	NCP			\$0	\$0	\$6,320	\$0	\$0	\$6,320
CLLAS2015-073	Rasse Vuklavi	Cry Properties Inc. et al	2014-12-08	2014-10-17	2015-07-07	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-081	Roberta McGill (retired)	Beneficiaries of Estate of Ruth Diamond-Wylie	2015-02-17	2011-09-27	2016-08-23				\$0	\$0	\$15,982	\$0	\$0	\$25,000
CLLAS2015-144	Todd (Cavin) Robinson	Grant Thornton Receivables of Nappach-NassanyCorp	2015-06-02	2014-11-24	2015-11-27				\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-006	John McGowan	Achilles Media Inc	2015-07-27	2009-10-24	2016-03-30	NCP			\$0	\$0	\$4,378	\$0	\$0	\$4,378
CLLAS2016-010	David S. Ward	Ranoch Enviro Inc. et al v. Farnsop Ltd. et al	2015-07-24	2013-02-01	2015-09-24	NCP			\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-030	Jason Senoskie	Berling Capital Management Inc	2015-10-07	2015-05-29		\$0	\$0	\$0	\$0	\$0	\$69,359	\$0	\$139,084	\$208,443
CLLAS2016-037	Lanning Abramson	Equity Financial Holdings Inc.	2015-11-02	2014-12-11	2017-05-11				\$0	\$0	\$91,117	\$73,042	\$0	\$164,159
CLLAS2016-084	David Redmond	1568596 Ontario Inc (Reg and Stephen Quan)	2016-01-28	2008-06-13	2017-01-12				\$0	\$0	\$125,000	\$27,351	\$0	\$152,351

As at December 31, 2017

AS at December 31, 2017											
Class No	Insured	Client	Report Date	Event Date	Class Code	C/LAS			Law Society		
						Payment	Recovery	Payment	Recovery	Indemnity	Legal
						Indemnity	Legal	Indemnity	Legal	Indemnity	Legal
CLLAS2012-064	Leonard Glickman	Water & Wellness Solutions Inc.	2011-12-16	2011-04-25	2011-12-07	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2012-074	Lorne Stephen Silver	Frank Matinjak	2011-12-22	2008-09-15	2012-03-27	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2012-149	Joseph David Timothy Pinos	Brook University	2012-05-04	2011-02-01	2013-12-31		\$0	\$0	\$22,000	\$20,518	\$42,518
CLLAS2012-150	Catherine Dennis	University of Toronto	2012-04-18	2012-02-03	2012-11-29	SIR	\$0	\$0	\$0	\$0	\$0
CLLAS2012-191	Roberta McGill	Don Ferguson	2012-04-05	2012-11-06	2017-06-28	SIR	\$0	\$0	\$0	\$0	\$0
CLLAS2013-008	Cara L. Shumess	Cleveland Shaw	2012-08-10	2011-09-07	2013-02-07	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2013-011	David Anthony Redmond	Dr. Stuart Shanker and Mrs. Virginia Shanker	2012-08-09	2010-02-25	2013-02-19		\$0	\$0	\$0	\$0	\$0
CLLAS2013-013	Mark Young	Infri Oil and Gas Technology Ltd (IOGT)	2012-08-14	2008-06-01	2015-04-23	NCP	\$0	\$0	\$0	\$125,486	\$0
CLLAS2013-024	Lorne Salzman	VanderMarel Investment Corporation	2012-09-27	2011-07-31	2015-11-25		\$0	\$34,457	\$0	\$708,333	\$1,000,000
CLLAS2013-038	Jed Blackburn	Brad Cabana	2012-10-30	2012-05-09	2013-02-22	NCP	\$0	\$0	\$0	\$13,999	\$0
CLLAS2013-046	Frank Robman	2180511 Ontario Limited o/a A Boyer's Choice Home	2012-11-23	2011-10-15	2013-02-07	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2013-050	David Butler	Victor Korchenko	2012-11-29	2011-11-15	2013-01-10		\$0	\$0	\$0	\$0	\$0
CLLAS2013-060	Luke Woolford	Woods International Freight Systems et al	2012-12-20	2009-08-14	2013-07-03	SIR	\$0	\$0	\$0	\$0	\$0
CLLAS2013-065	Marc Mercier	Tom Baker	2012-12-28	2012-04-27	2013-12-31	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2013-084	Lawrence D. Wilder	Thomas Seneak	2013-02-27	2009-07-30	2013-12-17	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2013-085	Carol Anne O'Brien	Research Planning & Marketing/Su Penny	2013-02-26	2009-07-01	2013-12-18		\$0	\$0	\$0	\$0	\$0
CLLAS2013-092	Robert Brian Cohen	Luc Lacroix et al	2013-03-05	2010-05-01	2014-08-21	NCP	\$0	\$0	\$0	\$25,864	\$25,864
CLLAS2013-096	Y. Ken Chan	Step by Step Custom Orthotic et al	2013-03-14	2011-06-06		NCP	\$0	\$0	\$0	\$214,155	\$225,000
CLLAS2013-142	E. BRUCE LEONARD	Nord Networks UK Pension Trust Limited	2013-06-27	2009-09-30	2014-04-16	NCP	\$0	\$0	\$0	\$7,980	\$7,980
CLLAS2013-146	Lorne Salzman	Hilco UK Limited	2013-05-01		2014-03-10	NCP	\$0	\$0	\$0	\$0	\$0
CLLAS2013-153	Janine Kavash	Inc and Oxx Transpharm Canada Pharmaceutical	2013-05-23	2011-09-01	2017-10-23	NCP	\$0	\$0	\$0	\$51,877	\$51,877
CLLAS2013-156	Pete Dunne	David C. Phillips and First Leasehold Wealth	2013-05-30	2011-08-19			\$0	\$0	\$0	\$119,323	\$156,798
CLLAS2014-041	Laure Jessome	Bourne Mills of Canada Inc	2013-11-08	2014-03-17	NCP		\$0	\$0	\$0	\$0	\$0

As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
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Cassels, Brock & Blackwell LLP
As at December 31, 2017

Claim No.	Insured	Client	Report Date	Event Date	Close Date	CLLAS				Law Society			
						Payment Indemnity	Legal	Settlement Indemnity	Legal	Payment Indemnity	Legal	Settlement Indemnity	Legal
CLLAS2010-039	Andrew A. Warner (no longer at firm)	Woodlands by Sierra Inc. and The Rose Corporation	2009-10-19	2006-06-15	2011-09-30	\$0	\$0	\$0	\$0	\$50,000	\$35,000	\$0	\$0
CLLAS2010-047	David Bouaque	BL-Go Lift Truck Ltd.	2009-10-29	2009-11-16		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-059	Peter Harris	Trillium Motor World (General Motors dealers)	2009-11-19	2009-05-07		\$0	\$4,155,102	\$28,471,227	\$1,373,671	\$34,000,000	\$0	\$0	\$1,000,000
CLLAS2010-062	Signe Blanka Leuk	Sylvette Brown and Murray Brown	2009-11-24	2009-10-14	2010-01-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-063	Jennifer Campbell	Capitol Energy Inc.	2009-12-03	2009-01-12	2010-02-24	NCP	\$0	\$0	\$0	\$0	\$1,785	\$0	\$1,785
CLLAS2010-065	Don R. Arthurs	Zurich Insurance as subrogee of Eco-Tec Inc.	2009-12-03	2001-05-18		\$0	\$0	\$2,500,000	\$0	\$2,500,000	\$188,760	\$700,000	\$110,240
CLLAS2010-102	Lorne Salzman	Jasente Iga	2010-02-25	1994-11-15	2010-09-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-107	James Rosalter (no longer at firm)	SkyLink Aviation Holdings, LLC, SkyLink Aviation I	2010-02-10	2008-11-06	2013-02-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-112	MAXWELL GOTTLIEB Ltd. and Seymour Pepper (deceased)	York Super Pharmacy Ltd. and Seymour Pepper (deceased)	2010-03-02	1988-10-01	2011-04-28	NCP	\$0	\$0	\$0	\$0	\$8,418	\$0	\$8,418
CLLAS2010-113	Robert Lyss	The Equitable Trust Company	2010-03-05	2008-01-08	2013-11-13		\$0	\$0	\$0	\$215,000	\$0	\$0	\$215,000
CLLAS2010-114	Cathy Mercer	Homeland Uranium Inc.	2010-04-05	2009-06-01	2011-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-144	Gary Steinhan	The Rose Corporation	2010-04-19	2007-11-13	2010-08-03	SIR	\$0	\$0	\$0	\$0	\$15,824	\$0	\$15,824
CLLAS2010-147	D'Arcy D. McGooy	Michael Reys	2010-04-26	2011-03-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-164	Robert Cohen	Leigh S. Ulrich	2010-05-27	2009-06-26	2010-05-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-184	Stanley M. Makuch	Town of Georgian	2010-06-30	2002-09-30	2012-05-02	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-006	Enrico Dos Reis Machado	Eureka Importing and Distributing Limited	2010-08-20	2009-02-04	2010-11-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-014	John Verzee	Royal Laser Corp.	2010-09-01	2006-05-01	2012-07-13		\$0	\$0	\$0	\$0	\$201,671	\$0	\$201,671
CLLAS2011-017	Mark Young	Go-Go Travel & Tours Ltd.	2010-09-17	2010-07-15	2011-06-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-021	Sheldon Pinner	Ray A. Makar	2010-09-30	2011-03-22	NCP		\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-028	Enik Dusan Goldsilver	Prescott-Russell Services to Children and Adults	2010-10-19	2010-01-25	2014-03-17		\$0	\$0	\$0	\$133,000	\$25,000	\$0	\$138,000
CLLAS2011-031	Theodore B. Frankel	Scan Tube Steel Services	2010-10-21	2010-07-30	2011-03-22	NCP		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-042	Lorne Stephen Silver	Jaystren Holdings Limited and Stephen Silver	2010-11-08	2005-09-09	2012-07-23	NCP	\$0	\$0	\$0	\$0	\$28,638	\$0	\$28,638
CLLAS2011-043	Kenneth Volles	Willowfield Winchester Inc.	2010-11-10	2010-07-01	2011-03-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
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Claim No	Insured	Client	Report Date	Event Date	Close Date	Open Code	CLLAS				Law Society			
							Payment	Legal	Indemnity	Reserve	Legal	Indemnity	Reserve	Outstanding Liability
CLLAS2008-188	Zoe King	TSI Solutions Inc.	2008-06-30		2008-07-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-018	Erin E Finlay	Dochowen Sestram	2008-08-15		2007-04-03	2010-01-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-024	Diane Klafach	Javier Baunelles	2008-09-24		2003-04-14	2010-05-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$22,540
CLLAS2009-033	Laurie Laplante	Andrew Edwards	2008-10-20		2008-06-15	2009-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-048	GREGORY J. PIERLES	Demessy Limited	2008-11-09		2015-06-25	NCP	\$0	\$5,042	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-049	Lorne H. Salzman	Registered Canadian Amateur Athlete Assoc.	2008-11-14		2010-08-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-114	Alison Mazzer	HEPCO Credit Union Ltd (aka Meridian Credit)	2009-02-27		2001-05-31	2010-02-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,603
CLLAS2009-115	Bruce A. Thomas (no longer with firm)	Inveresk PLC	2009-02-07		2010-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-125	David Budd	Charles Ivy	2009-04-01		2009-04-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-137	Nicole Andra Auty	The Second City Theatre Company Inc.	2009-06-19		2009-01-02	2009-08-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-183	Kenneth James Volles	Man Cornet Inc and Brantford Development Ltd.	2009-06-30		2008-11-27	2012-07-25	CFF	\$0	\$0	\$0	\$0	\$0	\$0	\$3,841
CLLAS2009-184	Diane Elizabeth Klafach	Pamela Stevens (Roslyn Tsao)	2009-06-30		2006-10-05	2015-12-03	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$14,920
CLLAS2009-185	MAXWELL GOTLIEB	Harry Teperman and Irving Teperman	2009-06-30		1994-01-02	2011-03-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$22,242
CLLAS2009-186(a)	Susan Marsh	Bolton Spring Industries Ltd.	2009-06-30		2008-11-01	2010-03-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$6,405
CLLAS2009-186(b)	Susan Marsh	Bolton Spring Industries Ltd.	2009-06-30		2010-03-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,570
CLLAS2010-003	Ralph Lean	Earl Gales and Jenkins, Gales & Martinez Inc.	2009-07-24		2005-04-12	2010-01-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-006	Geoff Shaw	2040380 Osmano Inc (d.b.a. J.W. Car Care)	2009-07-28		2009-02-06	2009-08-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-020	Charla Newman	Business Development Bank of Canada	2009-09-03		2006-07-28	2010-02-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-025	Stuart English	The Rose Corporation/Livia Capital et al	2009-09-22		2008-06-30	2010-01-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$919
CLLAS2010-031	Anne-Marie Weber	Robert Lawrie and Hawk Site Inc re Condo Purchase	2009-10-02		2000-05-19	2010-01-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-032	Anne-Marie Widner	Robert Lawrie and Hawk Site Inc re Cottage Purch.	2009-10-02		2010-01-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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							Payment Indemnity	Legal	Indemnity	Reserve	Legal	Indemnity	Reserve	Legal
CLLAS2007-015	Michelle Wright	Rita R. Fiedler and Finer D. Cartwright	2006-08-22	2009-05-04	2010-08-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-022	David Redmond	130497 Ontario Limited	2006-09-29	2002-02-07	2009-05-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-058	Howard Burstein	Remick	2006-12-19	2004-12-14	2007-01-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-113	Marc Mercier	2092248 Ontario Inc	2006-11-16	2006-09-21	2007-02-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-127	David John Cose O'Reilly	Copper Core Limited	2007-04-26		2008-02-04		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-132	Craig Logie	Hansa Smilovici	2007-06-11	2007-06-01	2007-08-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-141	Allison Munzer	Golf Town Income Trust	2007-06-25	1998-06-01	2007-06-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-142	Lorne Silver	The Electriclinks Corporation	2007-06-20	2007-01-01	2007-07-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-158	Lorne Silver	Cludio Piccolotto	2007-06-29	2007-02-01	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-159	Lorne Salzman	Rennie Smythe	2007-06-28	1995-12-07	2009-06-24	NCP	\$0	\$0	\$0	\$0	\$6,914	\$0	\$0	\$6,914
CLLAS2008-019	Danae Klakach	Ellen Rosen	2007-08-30	2004-07-20	2008-06-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-028	Stephen Selanick	Enrol Group International Inc	2007-10-11	2009-01-05	2009-06-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-066	Jason Bullen	Dr Hans M. Dorsch et al	2007-12-19	2006-01-01	2008-06-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-074	Bernice Kam	Novator Systems Ltd	2007-12-26		2007-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-075	John O'Reilly	John Chiappetta	2007-12-20		2010-05-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-089	David Woolford	Unicom Financial Limited	2008-01-11	2007-11-22	2010-05-20	NCP	\$0	\$0	\$0	\$0	\$11,026	\$0	\$0	\$11,026
CLLAS2008-093	L. Michael Shannon	Tila Mo	2008-01-22		2008-01-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-098	Daniel Bourque	Rogers Cable Communications Inc	2008-01-24	2005-08-01	2008-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-113	Lorne Salzman	Jeffrey Lipson (Emilio Bisceglia)	2008-03-12	2001-05-18			\$0	\$0	\$2,000,000	\$1,500,000	\$661,727	\$0	\$338,273	\$1,000,000
CLLAS2008-123	Peter Dunne	New Solutions Financial Corporation	2008-04-11	2008-10-08	2010-08-24		\$0	\$0	\$0	\$0	\$11,072	\$0	\$0	\$11,072
CLLAS2008-127	Marlin Hurst	Regional Municipality of Niagara	2008-04-24	2007-06-30	2008-07-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-132	Lori A. Roth	PCL Constructors Canada/PCL Constructors Eastern	2008-05-01		2009-03-04		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-153	Brian Koszak	Rolf Von Fintel, Troy Hurten, Jon Russell et al	2008-06-18	2006-06-02	2009-06-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-154	Peter Dunne	Stone & Co. Corporate Funds Limited	2008-06-19		2008-08-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-155	William J. Burden	Joseph John Polito et al	2008-06-20	2004-02-14	2009-04-28	NCP	\$0	\$0	\$0	\$0	\$9,496	\$0	\$0	\$9,496

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CLLAS2007-013	2006-08-10	2006-07-31	2006-12-27	NCP	\$0
Jeffrey P Roy	2006-08-10	2006-07-31	2006-12-27	NCP	\$0
Haywood Securities Inc.	2006-08-10	2006-07-31	2006-12-27	NCP	\$0

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							Payment Indemnity	Legal	Reserve Indemnity	Legal	Payment Indemnity	Legal	Reserve Indemnity	Legal
CLLAS1998-106	Robert Armstrong	Lansing Building Supply (Ontario) Ltd	1997-08-01	1981-01-19	1998-05-22		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$29,741
CLLAS1998-107	Peter Trebus	Scrima Inc and Judith Eiler	1997-08-19	1997-08-19	1997-08-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,232
CLLAS1998-108	Lorne Silver	Shirley Schwartz & Estate of Larry Schwartz	1997-11-26	1997-10-30	2001-08-21		\$0	\$0	\$0	\$0	\$330,000	\$43,226	\$0	\$368,226
CLLAS1998-109	Claire E. Walker	Lorena Kerr/John Hegworth/Marc Paquette	1997-12-01	1995-01-01	1998-04-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-110	Richard Row	Kana General/Donald	1998-01-23	1996-04-01	1999-11-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-119	Walter Bowen	Currugh Inc.	1998-06-22	1994-08-01	1999-01-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-017	Lawrence D. Wilder	YBM MAGNEX INTERNATIONAL INC.	1998-08-28	1997-06-01	2003-09-30		\$15,000,000	\$1,638,403	\$0	\$0	\$16,638,403	\$0	\$0	\$1,016,561
CLLAS1999-041	Allison Munzer	Covregis Financial Corporation	1998-12-01	1998-02-28	2003-04-11		\$0	\$0	\$0	\$0	\$162,500	\$85,392	\$0	\$247,892
CLLAS1999-082	L. Michael Shannon	Lorraine MacLachlan	1999-02-01	1999-01-04	1999-06-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-126	Joseph David Pinos	Freemewer	1999-04-07	1994-03-09	2002-03-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,603
CLLAS2000-021	Crawford R. Spenser	Canadian Tire Real Estate Limited	1999-09-22	1996-07-15	2000-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$508
CLLAS2000-024	James Pecks	Victor Dingley et al	1999-09-27	1999-09-24	1999-11-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-031	Leilah Edroos	Stephen Ransee	1999-09-29	1997-09-07	1999-11-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-069	Brose Thomas	INGHalifax (formerly Guardian Insurance)	2000-01-26	1996-08-01	2000-02-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-095	John McGowan	Robert White and David Kingston	2000-03-14	1997-09-09	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$6,040	\$0	\$6,040
CLLAS2001-005	William Steele	Ausley & Associates Ltd/Netwagaga Valley Center	2000-08-01	2000-01-01	2000-09-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-079	Joseph Pinos	1069362 Ontario Inc HealthFE	2001-02-16	2000-10-18	2001-10-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-139	Allison Munzer	Dana Cronick and Voicecast Inventory Systems	2001-06-19	1999-08-01	2006-09-29	NCP	\$0	\$0	\$0	\$0	\$0	\$39,362	\$0	\$39,362
CLLAS2002-027	Firas Mohamed	Dominion of Canada Insurance Company	2001-08-23	1999-12-08	2003-11-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-067	Moira Wallace	Vince Palm Sr	2001-11-08	2000-03-01	2011-12-07	NCP	\$0	\$0	\$0	\$0	\$0	\$4,538	\$0	\$4,538
CLLAS2002-097	Frank Monteleone	John Stewman, Sarah Stewman and Mako Films	2002-01-03	1995-03-16	2005-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$13,351	\$0	\$13,351
CLLAS2002-134	Lori A. Roth	PCL Constructors Eastern Inc Zurich Insurance	2002-03-04	2002-01-09	2003-01-03	NCP	\$0	\$0	\$0	\$0	\$0	\$1,650	\$0	\$1,650

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							Payor	Industry	Legal	Reserve	Incurred Liability	Payor	Industry	Legal
CLLAS1994-181	Frank Monteleone	Elaine Oudington	1994-05-31	1994-02-01	1995-02-06	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1994-182	Frank Monteleone	Cambridge Suites Hotel	1994-04-14	1994-02-01	1994-12-31	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-003-01	Lorne H. Saliman	Con Drain Company et al	1994-07-20	1988-07-20	1996-06-30	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-009	Anne-Marie Walter	Scoti Mortgage Corp. St. John's Polish Natl	1994-08-31	1993-02-01	1996-07-31	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-066	Sheldon John Plester Doe	Bob Reager	1994-12-31	1991-07-01	1996-12-31	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1996-067	Timothy Pinos	Frederick C. Mahman	1994-12-31	1994-09-01	1997-07-10	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-080	D.R. Arthur	T.R. Turbitt	1994-07-28	1992-01-01	1994-02-02	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-093	William H. Steele	ACM General Contracting - Polco	1994-12-31	1994-10-01	2004-03-09		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-094	Anne-Marie Wilder	Robert and Marlene Cole	1995-03-30	1992-05-01	1996-12-12		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-096	Bruce Kenneth McNeely-Cane	Lida Young Holdings Ltd 337147 Ontario Ltd	1995-03-28	1978-03-01	1997-03-13	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-117	Robert Law	L.B. Sheppard Estate/ D. Sheppard and GS Sheppard	1995-04-30	1992-06-01	2001-01-11		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1995-150	Mark V. Ellis	Mentor Networks Inc.	1995-06-27	1995-06-01	1996-04-10		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1996-003	Michael Mackay	Dakota Marketing	1995-07-18	1995-05-01	1996-10-31	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1996-008	Howard Kohn	Royal Insurance Company of Canada	1995-08-15	1995-01-19	1997-03-17	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1996-103	Ian L. McCulloch	Great West Life Assurance Company	1996-05-14	1989-03-22	1996-12-13	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1997-066	Paul Stein	Gaming Lottery Corporation/Bank Leu AG	1997-02-17	1994-11-09	2003-11-14		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-018	Gregory Grextex	John William Cowan	1997-06-04	1994-10-03	1998-01-20	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-019	Donald G. Gray	Alcan Group Services (Asia) Inc.	1997-08-29	1996-06-01	2008-12-31		\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-091	Lawrence D. Wilder	Nutritional Inc.	1998-04-20	1996-08-14	1999-05-14	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-101	Rui Fernandes	Transcan Recycling Inc.	1997-03-11	1996-04-03	2001-01-10	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-102	Lori A. Roth	Nickson Engineering & Construction Ltd.	1997-02-17	1996-04-06	1997-07-03	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-103	Mark Ellis	Mentor Networks	1995-06-27	1995-06-01	1998-01-20	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-104	Paul M. Stein	Linda Stern	1997-06-03	1994-04-01	1998-03-08	NCP	\$0		\$0	\$0	\$0	\$0		\$0
CLLAS1998-105	Gregory Grextex	Priority Gas Marketing			1998-05-25		\$0		\$0	\$0	\$0	\$0		\$0

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Client No	Investor	Company	Report Date	Event Date	Client Data	Dispute Code	CIIAS			Payment			Incurred Liability			Case Society			Journal Liability
							Payable	Legal	Indemnity	Payable	Legal	Indemnity	Payable	Legal	Indemnity				
CIIASI993-159	David Redmond	MARGO BOURQUE	1993-05-31	1990-10-31	1996-06-30	NCP	\$0	\$0	\$0	\$0	\$7,180	\$0	\$0	\$0	\$0	\$0	\$7,180	\$0	
CIIASI993-160	Anne Marie Widmer	COLE BUILDING LIMITED	1993-06-30	1990-10-31	1995-04-04	NCP	\$0	\$0	\$0	\$0	\$2,973	\$0	\$0	\$0	\$0	\$0	\$2,973	\$0	
CIIASI993-161	S. JOHN PAGE	HAYWARD BUREY LIMITED	1993-05-31	1993-04-30	1998-05-29	NCP	\$0	\$0	\$0	\$0	\$22,667	\$0	\$0	\$0	\$0	\$0	\$22,742	\$0	
CIIASI993-163	S. JOHN PAGE	HAYWARD BUREY LIMITED	1993-05-31	1993-04-30	1998-05-29	NCP	\$0	\$0	\$0	\$0	\$21,292	\$0	\$0	\$0	\$0	\$0	\$22,667	\$0	
CIIASI994-077	PATRICIA A. VAKIL	ROYAL PRECISION TOOLING LTD.	1994-02-11	1989-01-10	1994-05-02	NCP	\$0	\$0	\$0	\$0	\$3,527	\$0	\$0	\$0	\$0	\$0	\$5,279	\$0	
CIIASI994-103	James M. BUTSON	YORKE TOWNE DECORATING	1993-08-26	1991-01-01	1994-05-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$889	\$0	
CIIASI994-104	HARVEY KIRSH	HOWARD SHAIN	1993-08-17	1994-01-28	NCP	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CIIASI994-105	Ken C. Cavallara	IONA GROUP OF COMPANIES	1993-10-13	1999-02-01	NCP	NCP	\$0	\$0	\$0	\$0	\$3,819	\$0	\$0	\$0	\$0	\$0	\$3,819	\$0	
CIIASI994-106	Stephen LEDREW	JAMES SKELTON	1993-08-30	1993-12-03	NCP	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CIIASI994-107	DONALD ARTHURS	ROYAL TRUST AND LAURENTIAN, ET AL	1993-10-29	1993-01-01	1994-06-24	NCP	\$0	\$0	\$0	\$0	\$417	\$0	\$0	\$0	\$0	\$0	\$417	\$0	
CIIASI994-108	MAXWELL GOTTLIEB	DIGIAMMARINO	1993-11-23	1991-11-01	1994-11-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,238	\$0	
CIIASI994-109	SANFORD WORLD	S. WOOLEY	1993-11-06	1994-03-25	NCP	NCP	\$0	\$0	\$0	\$0	\$606	\$0	\$0	\$0	\$0	\$0	\$606	\$0	
CIIASI994-110	DONALD ARTHURS	D. SANDERS	1993-11-08	1994-03-31	NCP	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CIIASI994-111	Kenneth CANCELLARA	Rudolph, Frank	1994-03-17	1995-06-01	1995-03-08	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,465	\$0	
CIIASI994-112	William H. Steele	MARCO BELNIK	1994-03-01	1995-06-01	NCP	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CIIASI994-113	KAREN BELL	CIBC	1994-02-08	1995-05-01	1998-04-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,526	\$0	
CIIASI994-114	Graig Ann WESTCOTT	248221 ONTARIO LIMITED/Turner, Smart	1993-11-13	1991-04-01	1996-03-11	NCP	\$0	\$0	\$0	\$0	\$1,588	\$0	\$0	\$0	\$0	\$0	\$1,588	\$0	
CIIASI994-115	Kenneth CANCELLARA	DELLA TORRE, Louis	1994-04-11	1991-08-01	1994-08-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$553	\$0	
CIIASI994-116	TREVOR BARTRAM	DELLA TORRE, Louis	1994-04-12	1988-05-01	1996-07-31	NCP	\$0	\$0	\$0	\$0	\$12,351	\$0	\$0	\$0	\$0	\$0	\$15,091	\$0	
CIIASI994-117	William BURDEN	ONTARIO HOSPITAL ASSOCIATION	1994-03-22	1994-02-01	1995-10-03	NCP	\$0	\$0	\$0	\$0	\$10,534	\$0	\$0	\$0	\$0	\$0	\$10,534	\$0	
CIIASI994-143	David A. Redmond	Can. Premier Property Investments	1994-06-30	1993-07-01	1994-10-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CIIASI994-144	James Parks	Kate Kovits and the Kodaks	1994-06-30	1994-05-01	1996-03-31	NCP	\$0	\$0	\$0	\$0	\$40,000	\$0	\$0	\$0	\$0	\$0	\$54,734	\$0	
CIIASI994-145	Ashley Russell	Sueda Ferra et al	1994-06-30	1989-07-01	1996-06-30	NCP	\$0	\$0	\$0	\$0	\$8,366	\$0	\$0	\$0	\$0	\$0	\$10,395	\$0	
CIIASI994-146	Ashley Russell	Michael & Susan Douglas	1994-06-30	1992-12-01	1994-12-31	NCP	\$0	\$0	\$0	\$0	\$1,365	\$0	\$0	\$0	\$0	\$0	\$1,365	\$0	

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: **Cassels Brock & Blackwell LLP**

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2018)

Name of Firm: Cassels Brock and Blackwell LLP

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

Audit & Risk Management Committee (ARMC) and John Birch, ARMC Member and General Counsel

The ARMC is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy.

The ARMC is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

The Firm has written policies and procedures dealing with a number of risk management areas, including conflicts of interest, trust funds, lawyers acting as directors and officers, ownership of significant interests in a client, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, pre-clearance of securities trades, file intake and retainers. The firm also provides guidance to lawyers regarding opinions and outside counsel guidelines.

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

The Firm's risk management policies and procedures are documented in the Firm's policy manual and stand-alone policies, which include the following:

- client intake**
- conflict checking**
- directors and officers**
- professional errors and omissions**
- securities trading**

New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's risk management policies are readily accessible on-line at all times on the

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2018)

Name of Firm: Cassels Brock and Blackwell LLP

Firm's intranet and in the document management system. Lawyers and staff are advised of updates to the Firm's risk management policies and procedures as they occur and appropriate amendments are reflected in the policy manual and document management system.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

File Openings

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found, the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters for existing clients) the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

Conflict Inquiries

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, the File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved, if that can be done without revealing confidential information. In situations where it may be necessary to reveal confidential information in order to assess and resolve a potential conflict, a member of the ARMC receives this confidential information separately from each side and provides guidance regarding the conflict (without revealing the confidential information).

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2018)

Name of Firm: Cassels Brock and Blackwell LLP

Notice of a confidentiality screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.

Retainer Letter

The firm's policy is that an engagement letter must be prepared and sent to the client in respect of all new matters (including new matters for an existing client). The engagement letter generally addresses the following issues:

- **Scope of work**
- **Name of the lawyer(s) providing the services**
- **Name of the principal lawyer**
- **Fees**
- **Out-of-pocket expenses**
- **Retainer and trust deposit**
- **Billing arrangements and terms of payment**
- **Termination of representation (withdrawal circumstances, etc.)**

In addition, standard terms and conditions (contained in a separate document that is sent with the engagement letter) are incorporated by reference into most engagements.

Tickler/Follow-Up Systems

It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.

The intellectual property sub-group of the Business Law Group has a separate, specific system for diarizing trademark-related deadlines.

APPENDIX H
CYBER LIABILITY

Name of Firm: Cassels Brock & Blackwell LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Chief Financial Officer, Chief Information Officer

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

☒ Data at rest

☒ Data in transit

☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)

☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

[Yes, but not in all circumstances]

4. Policies

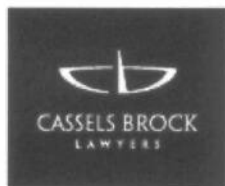
- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☒ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☐ yes ☒ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no



DATE	March 28, 2014 (Reviewed March 15, 2018)	MEMORANDUM
TO	CBB CLLAS Renewal Application	
FROM	Dorina Dumitrescu	
RE	Additional Information re. Question 2 of Appendix H	

Additional data protection in place:

- Physical access to network servers and attached storage is restricted by industry standard card reader technology. Access is limited to key IT Department and Office Services staff members.
- Login access to servers and storage devices while in the office is restricted to key IT Administrators.
- Onsite, staff members must enter a username and password combination to access files and other network resources. Staff are required to change their password on a periodic basis.
- Remote access to network resources, files and applications is facilitated via a Citrix system. Staff must enter a username and password combination. Additionally, remote access via Citrix also requires a PIN+Tokencode combination provisioned by industry accepted third party application SecurID from RSA, an EMC company.



Date: March 14, 2017

Privacy Statement

Privacy Policy

Cassels Brock & Blackwell LLP (Cassels Brock) is a full-service business law firm with offices in Toronto, Vancouver and Calgary. The lawyers and staff at Cassels Brock (sometimes referred to as "we") are committed to protecting your privacy. This Privacy Policy outlines how we handle your personal information to protect your privacy.

Privacy Legislation

Since January 1, 2004, all organizations collecting, using or disclosing personal information in Canada in the course of commercial activities have been required to comply with the *Personal Information Protection and Electronic Documents Act* ("PIPEDA") and any applicable "substantially similar" provincial legislation. These obligations extend to lawyers and law firms, including Cassels Brock.

In addition, as a professional services firm, we have professional and ethical obligations to keep confidential the information we receive in the context of a lawyer-client and agent-client relationship.

Personal Information

Personal information is defined in PIPEDA as information about an identifiable individual, but does not include the name, title or business address or telephone number of an employee of an organization. In other words, it does not include the information that one expects to find on a business card (other than an e-mail address which is considered to be personal information).

Consent to Our Collection, Use and Disclosure of Personal Information

In most cases, we obtain your consent to collect, use and disclose your personal information. If you retain Cassels Brock, we assume that we have your implied consent to our collection, use and disclosure of your personal information for the purposes of providing legal services to you, your company or organization; however, at times we may ask for your express consent, either verbally or in writing. By providing your personal information to us, you agree that we may collect, use and disclose your personal information as outlined in this Privacy Policy.

Withdrawal of Consent

You may withdraw your consent to our collection, use and disclosure of your personal information at any time, subject to legal and/or contractual restrictions and upon reasonable notice. However your withdrawal of consent to our collection, use and disclosure of your personal information may affect our ability to represent and provide legal advice to you, your company or organization.

You can ask us not to send e-mail marketing communications to you by following the opt-out instructions in each communication or you may let us know by contacting our marketing department at unsubscribe@casselsbrock.com. If you prefer not to be contacted by other means, such as by regular mail or by phone, please provide those instructions to the lawyer or agent with whom you are dealing, or to our Privacy Officer, whose contact information is provided below.

Collection of Personal Information

Generally we collect your personal information directly from you at the start of or during the course of your retainer with Cassels Brock. We may also obtain personal information about you from other sources, such as:

- other individuals within your company or organization, where we are representing the company or organization;
- your insurance company or other financial institution;
- your real estate agent in a property transaction;
- your legal counsel in another jurisdiction, if we were retained through the other law firm;
- from a government agency or registry;
- your employer, if we are acting for you; or
- your accountant.

Use of Personal Information

We use your personal information to provide legal advice and services to you, your company or organization, to issue invoices and to maintain our database of clients.

We may also use your personal information so that we may communicate with you about recent developments in the law, keep you abreast of Cassels Brock news and invite you to our firm events.

Disclosure of Personal Information

Generally, we do not disclose your personal information to third parties without your consent unless permitted or required by applicable law. There are some situations in which we may disclose your personal information without your consent. For example:

- when we are required or authorized by law to do so, for example if a court issues a subpoena;
- where it is necessary to establish or collect fees; or

- if the information is already on the public record and the disclosure is directly related to the purposes for which it was recorded on the public record.

In some cases, your consent will be implied. For example, when the legal services we are providing to you require us to give your information to a third party (such as to a lender in a real estate mortgage transaction in which we are representing you) we would rely on your implied consent to the disclosure. If our firm is sold or merged with another firm your consent will be implied to transfer your information to the successor firm in order to continue to provide services to you, unless you tell us otherwise. If we are required to contact our insurers in connection with a claim that you have against us, we will assume that we have your implied consent to the disclosure of your personal information to the insurer.

Lastly, from time to time we may transfer your personal information to the firm's service providers. Under PIPEDA, a transfer to a service provider is not considered to be a disclosure for which your consent is required; however, we will use contractual or other means to ensure that the third party service provider is bound by obligations regarding privacy that are consistent with this Policy and our obligations under PIPEDA. Examples of transfers to service providers would be situations in which we contract with third parties to provide us with services such as archival file storage or insurance. Other examples would include cases where we engage other service providers such as expert witnesses or law firms in other jurisdictions on your behalf. Service providers may be located in various countries, so please be aware that authorized officials of governments in those countries may be lawfully able to access your personal information without your knowledge or consent pursuant to the laws of such countries. To the extent that we are permitted to do so by applicable law, we will notify you if we are advised that this access has occurred.

Accuracy of Your Personal Information

It is important that the personal information that we have on file be accurate and up-to-date. If, during the course of the retainer, any of your personal information changes, please inform us so that we can make any necessary changes. We may also ask you from time to time whether your personal information is up-to-date.

Safeguards

Cassels Brock uses various administrative and technological safeguards to ensure that your personal information is protected against loss, theft, misuse, unauthorized access, disclosure, copying or alteration. These include: security of our physical premises; our professional obligations; security software and firewalls to prevent unauthorized computer access or "hacking"; and internal passwords that restrict access to our electronic files.

Access to your Personal Information

You have a right to challenge the accuracy and completeness of your personal information and to have it amended, as appropriate. You also have a right to request access to your personal information and receive an accounting of how that information has been used and disclosed, subject to certain exceptions prescribed by law. For example, if the requested information would reveal personal information about another individual, your access may be limited or denied. If your request for access is denied, Cassels Brock will notify you in writing of the reason for the denial.

To request access or to amend your personal information, please contact the lawyer or agent with whom you

normally correspond or write to our Privacy Officer at the address below. Cassels Brock will respond within 30 days of receipt of your written request.

Challenging Compliance

Cassels Brock will respond to inquiries about its policies and practices relating to its handling of your personal information. Inquiries should be directed to Cassels Brock's Privacy Officer using the contact information below. Cassels Brock will investigate all complaints and will respond within 30 days of receipt of a written inquiry. If the complaint is found to be justified, we will take appropriate measures to resolve it, including, if necessary, amending this Policy and its procedures.

Website Privacy

Like most other organizations, we may monitor traffic patterns, site usage and related site information. Our website server will automatically collect IP addresses, and we may use a browser feature called a "cookie" to optimize your visit to our website. You may set your browser to turn cookies off during your use of any website and, in any event, when you close your browser the cookie is deleted. We do not link your IP address or cookies to other personally identifiable information.

By accessing and browsing our website, you agree that we may collect, use and disclose any personal information collected about you through our website as described in this Policy.

Privacy Officer

If you have any questions or complaints about this Policy or the handling of your personal information, if you wish to withdraw your consent to our use of your personal information, or to request access to or update any information we have on file, please contact the lawyer or agent with whom you are dealing, or contact:

Deborah Glatter
Cassels Brock & Blackwell LLP
2100 Scotia Plaza
Toronto ON M5H 3C2

dglatter@casselsbrock.com
(416) 860-6606
Toll Free (877) 869-5300, ext. 6606

Changes to this Privacy Policy

We may change this Privacy Policy from time to time. Any changes will be posted on our website at www.casselsbrock.com and will be made available upon request through your contact at Cassels Brock. Please check from time to time to ensure you are aware of our current policy. This Privacy Policy is effective June 9, 2009.

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Cassels Brock & Blackwell LLP

*** FIRM SUMMARY ***

LAW SOCIETY OF UPPER CANADA

Page 1 of 6

To: CASSELS BROCK & BLACKWELL LLP
 SCOTIA PLAZA
 #2100 - 40 KING ST WEST
 TORONTO, ON M5H 3C2

Invoice Date: 28 NOV 2017
 Policy Effective/Due Date: 01 JAN 2018
 Total Amount: \$538,234.20
 Account: A034880

Attention: Accounts Payable

*** PREMIUM DETAIL ***

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$15,000.00
ADJUSTED BASE-RATED PREMIUM	\$483,365.00
PST	\$39,869.20
*** TOTAL ***	<u>\$538,234.20</u>

*** INSTALMENT SUMMARY ***

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2018 **	\$538,234.20	\$0.00	\$538,234.20
*** TOTAL ***	<u>\$538,234.20</u>	<u>\$0.00</u>	<u>\$538,234.20</u>

Early payment discount: Before 06 FEB 2018, pay only:	\$528,838.20
After 06 FEB 2018, pay:	\$538,234.20
Save:	\$9,396.00

(Detach and return with payment)

Account: A034880
 CASSELS BROCK & BLACKWELL LLP

Due Date: 01 JAN 2018
 Instalment Total: \$538,234.20
 Balance: \$538,234.20
 Amount Enclosed: _____

Pay To: Law Society of Upper Canada
 c/o Lawyers' Professional Indemnity Company
 250 Yonge Street
 Suite 3101, P.O. Box 3
 Toronto, ON M5B 2L7

* Please attach a copy of the Firm Summary to your cheque *

*** FIRM SUMMARY ***

Firm: A034880

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The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A0116600	PETERSON, DAVID ROBERT	\$3,294.00	\$3,294.00	\$3,294.00
A013475H	CRAIG, JOHN HUNTER	\$3,294.00	\$3,294.00	\$3,294.00
A015550F	BURDEN, WILLIAM JOHNSON	\$3,240.00	\$3,240.00	\$3,240.00
A016589I	ABRAMSON, LANNING JAY	\$5,994.00	\$5,994.00	\$5,994.00
A016808H	GOTLIEB, MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A017904B	PLENER, SHELDON	\$3,240.00	\$3,240.00	\$3,240.00
A018514A	MANZER, ALISON ROSEMARY	\$3,294.00	\$3,294.00	\$3,294.00
A018593C	SELZNICK, STEPHEN IRA	\$3,294.00	\$3,294.00	\$3,294.00
A019650Q	PAGE, SIDNEY JOHN	\$3,294.00	\$3,294.00	\$3,294.00
A019947K	KLIGMAN, ROBERT DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A020027U	PINOS, JOSEPH DAVID TIMOTHY	\$5,994.00	\$5,994.00	\$5,994.00
A020135M	YOUNG, MARK IAN	\$3,294.00	\$3,294.00	\$3,294.00
A021142G	STEINHART, GARY	\$3,186.00	\$3,186.00	\$3,186.00
A021789J	MINGAY, CAMERON ARTHUR	\$3,240.00	\$3,240.00	\$3,240.00
A021828Q	REEVE, JAMES BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022845E	NORTON, CHRISTOPHER BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022897M	SNIDER, KENNETH JUDD	\$3,294.00	\$3,294.00	\$3,294.00
A023072U	HALL, TERENCE DOUGLAS	\$3,294.00	\$3,294.00	\$3,294.00
A023256T	STEIN, PAUL MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A024238L	SILVER, LORNE STEPHEN	\$5,940.00	\$5,940.00	\$5,940.00
A025356I	GLICKMAN, LEONARD	\$3,294.00	\$3,294.00	\$3,294.00
A025675Q	ROY, JEFFREY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A025728B	MERCER, CATHY LEE	\$3,294.00	\$3,294.00	\$3,294.00
A025846K	KARN, BERNICE MABELLE	\$3,294.00	\$3,294.00	\$3,294.00
A026202G	GRIEVE, DEBORAH SUSAN	\$3,294.00	\$3,294.00	\$3,294.00
A026367J	SHAW, GEOFFREY BRACKLEY	\$3,186.00	\$3,186.00	\$3,186.00
A026754K	MCGOWAN, JOHN PHILIP	\$3,294.00	\$3,294.00	\$3,294.00
A026798Q	ALTER, MATTHEW RICHARD	\$3,294.00	\$3,294.00	\$3,294.00
A027474Q	REDMOND, DAVID ANTHONY	\$8,802.00	\$8,802.00	\$8,802.00
A028484V	JASON, JOHN RICHARD	\$3,294.00	\$3,294.00	\$3,294.00
A028583P	MUCHNIK, PAUL ASHLEY	\$3,294.00	\$3,294.00	\$3,294.00
A029476H	FLEISHER, JONATHAN EMMANUEL	\$3,294.00	\$3,294.00	\$3,294.00
A029609R	MORAND, JAMES GERARD	\$3,294.00	\$3,294.00	\$3,294.00
A029730C	WATTERWORTH, ANN LOUISE	\$3,294.00	\$3,294.00	\$3,294.00
A029839C	DOMINIQUE, BRIAN PATRICK	\$3,402.00	\$3,402.00	\$3,402.00

***** FIRM SUMMARY *****

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A030156D	WEINBERG, LAWRENCE MARK	\$3,294.00	\$3,294.00	\$3,294.00
A030670L	GOLDMAN, JASON IRA	\$3,294.00	\$3,294.00	\$3,294.00
A030729S	KUKULOWICZ, ROBERT SHAYNE	\$3,240.00	\$3,240.00	\$3,240.00
A031351O	WUNDER, MICHAEL JOHN	\$3,402.00	\$3,402.00	\$3,402.00
A032187D	COHEN, ROBERT BRIAN	\$3,186.00	\$3,186.00	\$3,186.00
A032266J	GOODMAN, GORDON PETER	\$3,294.00	\$3,294.00	\$3,294.00
A032748J	BERMAN, WENDY RUTH	\$3,294.00	\$3,294.00	\$3,294.00
A033541W	WARD, DAVID STUART	\$3,402.00	\$3,402.00	\$3,402.00
A035009R	MERCIER, RONALD JOSEPH MARCUS	\$3,402.00	\$3,402.00	\$3,402.00
A035302P	REBACK, ANDREW MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A036620P	BENNETT, MARK TORRANCE	\$3,294.00	\$3,294.00	\$3,294.00
A036951J	VETTESE, JOHNNY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A037339O	TAYLOR, KRISTIN RACHELL	\$3,240.00	\$3,240.00	\$3,240.00
A038968U	BIRCH, JOHN NICHOLAS	\$3,294.00	\$3,294.00	\$3,294.00
A039215F	NEWMAN, JOHN CHARLES ROSS	\$3,348.00	\$3,348.00	\$3,348.00
A039474W	HAMILTON, ARTHUR LLOYD	\$3,294.00	\$3,294.00	\$3,294.00
A040416E	DELUCA, FRANCIS ALBERT	\$3,294.00	\$3,294.00	\$3,294.00
A040502Q	KAPSALES, TOMMY	\$3,402.00	\$3,402.00	\$3,402.00
A040901D	ILIOPOULOS, ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A041014R	SALEM, ANDREW EMILE	\$3,402.00	\$3,402.00	\$3,402.00
A041824A	BOIVIN, ANDRE MARCEL	\$3,294.00	\$3,294.00	\$3,294.00
A041858M	JACKSON, LARA MARY BESS	\$3,240.00	\$3,240.00	\$3,240.00
A042178M	ARBUCK, SIDNEY JASON	\$3,294.00	\$3,294.00	\$3,294.00
A042203S	BULLEN, JASON KENNETH SPERO	\$3,186.00	\$3,186.00	\$3,186.00
A042258O	HOGAN, GREGORY JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A042811G	CAMPBELL, JENNIFER LYNNE	\$3,294.00	\$3,294.00	\$3,294.00
A042930L	RICH, CHARLES BRADLEY	\$3,402.00	\$3,402.00	\$3,402.00
A042973V	FITZGERALD, ANDREA CATHERINE	\$3,294.00	\$3,294.00	\$3,294.00
A043080N	HERSH, CHRISTOPHER MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A043511B	LAPALME, LAURIE CHANTAL	\$3,294.00	\$3,294.00	\$3,294.00
A043557W	RASILE JR., MARCO ANTONIO	\$3,294.00	\$3,294.00	\$3,294.00
A044483S	ACCURSI, GARY CHAD	\$3,294.00	\$3,294.00	\$3,294.00
A044563U	GROUND, COLIN WILLIAM	\$5,994.00	\$5,994.00	\$5,994.00
A044616F	MANIACI, SEAN ANTHONY SAL	\$3,294.00	\$3,294.00	\$3,294.00
A045362J	CLEMENTS, LINDSAY ANN	\$3,294.00	\$3,294.00	\$3,294.00
A045423T	HARDY, SHANE DAVID	\$3,294.00	\$3,294.00	\$3,294.00

*** FIRM SUMMARY ***

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A045455Q	LEISK, SIGNE BLANKA	\$3,402.00	\$3,402.00	\$3,402.00
A045616Q	CHUMMAR, NOBLE CYRIL	\$3,294.00	\$3,294.00	\$3,294.00
A045862F	LYSY, ROBERT MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A046555R	BELLISSIMO, JOSEPH JAMES	\$3,402.00	\$3,402.00	\$3,402.00
A046572R	CHISICK, CASEY MARK	\$3,294.00	\$3,294.00	\$3,294.00
A046978W	RONDE, DEREK BART CHARLES	\$3,294.00	\$3,294.00	\$3,294.00
A047066W	BELLISSIMO, EVA	\$3,240.00	\$3,240.00	\$3,240.00
A047837C	KNOL, LINDA IRENE	\$3,294.00	\$3,294.00	\$3,294.00
A048080W	JESSOME, LAURIE BRIDGET	\$3,294.00	\$3,294.00	\$3,294.00
A048716Q	HAYMAN, ALISON JEAN	\$3,294.00	\$3,294.00	\$3,294.00
A048930I	GEARY, NICOLA ELIZABETH	\$3,294.00	\$3,294.00	\$3,294.00
A049302U	DIETRICH, JANE OLIVE	\$3,402.00	\$3,402.00	\$3,402.00
A049313K	ELLIS, LARRY CHRISTOPHER	\$3,294.00	\$3,294.00	\$3,294.00
A049330K	HENEIN, PETER JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A049784Q	FRANKEL, THEODORE BLOCH	\$3,294.00	\$3,294.00	\$3,294.00
A049821I	KING, JAY FRANCIS	\$3,294.00	\$3,294.00	\$3,294.00
A049932L	UUKKIVI, RAIVO	\$3,402.00	\$3,402.00	\$3,402.00
A050708C	CHOI, NANCY	\$3,294.00	\$3,294.00	\$3,294.00
A051289L	FREEMAN, JONATHAN	\$3,402.00	\$3,402.00	\$3,402.00
A052626H	NICHOLAS, ALOYSIUS CHANDIMAL	\$3,294.00	\$3,294.00	\$3,294.00
A052723J	WOOLFORD, LUKE STEPHEN	\$3,294.00	\$3,294.00	\$3,294.00
A053817A	PIZALE, JOHN ALEXANDER	\$3,240.00	\$3,240.00	\$3,240.00
A055767C	BLACKBURN, JEDIDIAH MONTEGU	\$3,402.00	\$3,402.00	\$3,402.00
A055957L	ROBINSON, FRANK SAUL	\$3,294.00	\$3,294.00	\$3,294.00
A055958H	ROBINSON, GAVIN TODD ASHLEY	\$3,402.00	\$3,402.00	\$3,402.00
A056576I	KENNEDY, STEVEN NOEL	\$3,186.00	\$3,186.00	\$3,186.00
A057154K	KHULLAR, SHAUNIK	\$3,294.00	\$3,294.00	\$3,294.00
A057297K	WINCH, MELISSA ERICA	\$3,402.00	\$3,402.00	\$3,402.00
A057316G	ABUDULAI, SUHUYINI HADIA	\$3,294.00	\$3,294.00	\$3,294.00
A057488P	LUNGU, MICHAEL MOSHE	\$3,294.00	\$3,294.00	\$3,294.00
A058204S	HOLLAND, STEFANIE ANN	\$3,294.00	\$3,294.00	\$3,294.00
A058406N	PICONE, JOHN MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A058577D	GARDOS, DAVID PETER	\$3,294.00	\$3,294.00	\$3,294.00
A058608I	HYLTON, MARLON GERMAINE	\$3,294.00	\$3,294.00	\$3,294.00
A058663P	MAYZEL, ERIC ALAN	\$3,294.00	\$3,294.00	\$3,294.00
A059295R	FLETCHER, JORDAN ROBERT	\$3,402.00	\$3,402.00	\$3,402.00

*** FIRM SUMMARY ***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A059510J	JACOBS, RYAN COREY	\$3,294.00	\$3,294.00	\$3,294.00
A059912H	PENDRITH, COLIN DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A060458J	VALO, REBECCA TALIA	\$3,294.00	\$3,294.00	\$3,294.00
A060619J	WASYLYK, JENNIFER ASHLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061177T	SULLIVAN, PETER JOSEPH GREGORY	\$3,294.00	\$3,294.00	\$3,294.00
A061610K	MARTIN, JEREMY MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A061880R	HORKINS, CHRISTOPHER BRINDLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061984O	RAMGOOLAM, ROSSI RYAN	\$3,402.00	\$3,402.00	\$3,402.00
A062390D	ST. CYR, MARK ANDRE	\$3,402.00	\$3,402.00	\$3,402.00
A062587H	MCGURRAN, SEAN VINCENT	\$3,294.00	\$3,294.00	\$3,294.00
A062828J	CRADDOCK, ERIN MICHELLE	\$3,294.00	\$3,294.00	\$3,294.00
A063569W	BOWIE, JUDITH ALEXIS BRIGHAM	\$3,294.00	\$3,294.00	\$3,294.00
A063748S	LI, MING-LAI TERA	\$2,019.60	\$2,019.60	\$2,019.60
A063778A	MIKELBERG, JEFFREY PHILLIP	\$3,186.00	\$3,186.00	\$3,186.00
A063847M	RUSSELL, CAITLIN ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A064160R	MOHAJER-ASHJAI, ARDAVAN	\$3,402.00	\$3,402.00	\$3,402.00
A064239M	SOMANI, ALY-KHAN	\$3,294.00	\$3,294.00	\$3,294.00
A064355G	COHEN, CARLY ELYSE	\$3,294.00	\$3,294.00	\$3,294.00
A064513S	MCELRAVY, JOEL GORDON	\$3,294.00	\$3,294.00	\$3,294.00
A064583W	ROBERTS, STEPHANIE KATHRYN-MAY	\$3,294.00	\$3,294.00	\$3,294.00
A064743D	POTTER, CARLA ELIZABETH	\$3,402.00	\$3,402.00	\$3,402.00
A064908K	LEVINE, NATALIE ELAINE	\$3,402.00	\$3,402.00	\$3,402.00
A064915Q	LITCHEN, JAMIE ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A065231J	OMOKHODION, PATIENCE NWANNE	\$2,656.80	\$2,656.80	\$2,656.80
A065384D	SIMPSON, KYLE JOSEPH	\$2,548.80	\$2,548.80	\$2,548.80
A065514C	GIBSON, CHRISTIE ELIZABETH	\$2,656.80	\$2,656.80	\$2,656.80
A065534N	HENDERSON, STEPHEN JAMES BURRO	\$2,656.80	\$2,656.80	\$2,656.80
A065606N	LOEWITH, LEONARD JACOB	\$2,548.80	\$2,548.80	\$2,548.80
A065702T	SELBY, CHRISTOPHER CARL	\$2,656.80	\$2,656.80	\$2,656.80
A065752M	VOUDOURIS, STEPHANIE STELLA	\$2,656.80	\$2,656.80	\$2,656.80
A065838N	COGGIN, MARISA MARIE	\$2,656.80	\$2,656.80	\$2,656.80
A066146E	WOODMAN, BRYAN ROBERT	\$2,656.80	\$2,656.80	\$2,656.80
A066430E	PHILLIPS, JACKSON EDWARD NIXON	\$2,656.80	\$2,656.80	\$2,656.80
A067655J	ALVARO, MICHAEL ANTHONY	\$2,338.20	\$2,338.20	\$2,338.20
A067695I	BYERS, KATHLEEN NOEL	\$2,230.20	\$2,230.20	\$2,230.20
A067704K	CHIU, BELINDA LI-TUEN	\$2,338.20	\$2,338.20	\$2,338.20

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A068041K	CIPOLLONE, DANIEL PHILIP	\$2,338.20	\$2,338.20	\$2,338.20
A068146D	LAI, KRISTEN SEI-TING	\$2,019.60	\$2,019.60	\$2,019.60
A068163D	LIPTON, JESSICA CARA	\$2,446.20	\$2,446.20	\$2,446.20
A068406U	FENDER, HILARY KAITLYN	\$2,338.20	\$2,338.20	\$2,338.20
A068438R	HINMAN, PAMELA JANE	\$2,230.20	\$2,230.20	\$2,230.20
A068574W	SHERMAN, JONATHAN DANIEL	\$2,338.20	\$2,338.20	\$2,338.20
A069939U	CHEN, XI	\$2,019.60	\$2,019.60	\$2,019.60
A069982S	GOLD, MATTHEW JESSE	\$2,019.60	\$2,019.60	\$2,019.60
A070041V	LESZCZ, NOAH SAMUEL	\$2,019.60	\$2,019.60	\$2,019.60
A070051P	LYLE, JAMES WILLIAM	\$2,019.60	\$2,019.60	\$2,019.60
A070230L	BLUMBERG, ROBYN ERIN	\$2,019.60	\$2,019.60	\$2,019.60
A070303H	GOODIS, BENJAMIN RYAN	\$2,019.60	\$2,019.60	\$2,019.60
A070336A	KELMAN, DAVID LEE	\$2,019.60	\$2,019.60	\$2,019.60
A070468K	TABI, KWAKU ALBERT KODIE	\$2,019.60	\$2,019.60	\$2,019.60
A070597J	GROSSMAN, LAUREN ELYSE	\$2,019.60	\$2,019.60	\$2,019.60
A070728E	RICHARDS, JACQUELINE HELEN	\$2,019.60	\$2,019.60	\$2,019.60
A070880N	FINN, BRITTANY MORGAN	\$2,019.60	\$2,019.60	\$2,019.60
A070968G	MAHONEY, MICHAEL JOHN	\$2,073.60	\$2,073.60	\$2,073.60
A071003C	MURPHY, ALEXANDRA ELIZABETH FR	\$1,911.60	\$1,911.60	\$1,911.60
A071053S	SEBANC, STEFANIE LYNN	\$1,911.60	\$1,911.60	\$1,911.60
A071850T	WOOD, PATRICIA MARGARET	\$1,701.00	\$1,701.00	\$1,701.00
A072188E	DIPARDO, DANIELLE MARIE	\$1,701.00	\$1,701.00	\$1,701.00
A072317H	MOHER, SOPHIE HOPE	\$1,701.00	\$1,701.00	\$1,701.00
A072837O	CIARLARIELLO, MARCO JOSEPH	\$1,701.00	\$1,701.00	\$1,701.00
A072890G	GROENEWALD, ROWAN SULLIVAN	\$1,701.00	\$1,701.00	\$1,701.00
A072958L	MASSIE, SAMUEL MACKENZIE	\$1,701.00	\$1,701.00	\$1,701.00
A073092U	WEIZEL, MICHAEL MAURICE ALLAN	\$1,701.00	\$1,701.00	\$1,701.00
A073238M	KERZNER, STEPHANIE MIA	\$1,701.00	\$1,701.00	\$1,701.00
A073282G	METALLO, AMANDA MARIA	\$1,701.00	\$1,701.00	\$1,701.00
A073313L	PODOLSKY, CHAD DANIEL	\$1,701.00	\$1,701.00	\$1,701.00
A073338C	SCOLIERI, AMANDA ELVIRA	\$1,701.00	\$1,701.00	\$1,701.00

174 members are listed on the summary

Instalment Amount:	\$538,234.20
Total Premium:	\$538,234.20
Outstanding Amount:	\$538,234.20